



Department of Toxic Substances Control

# Ecological Risk Assessment Scoping Assessment

J. Michael Eichelberger

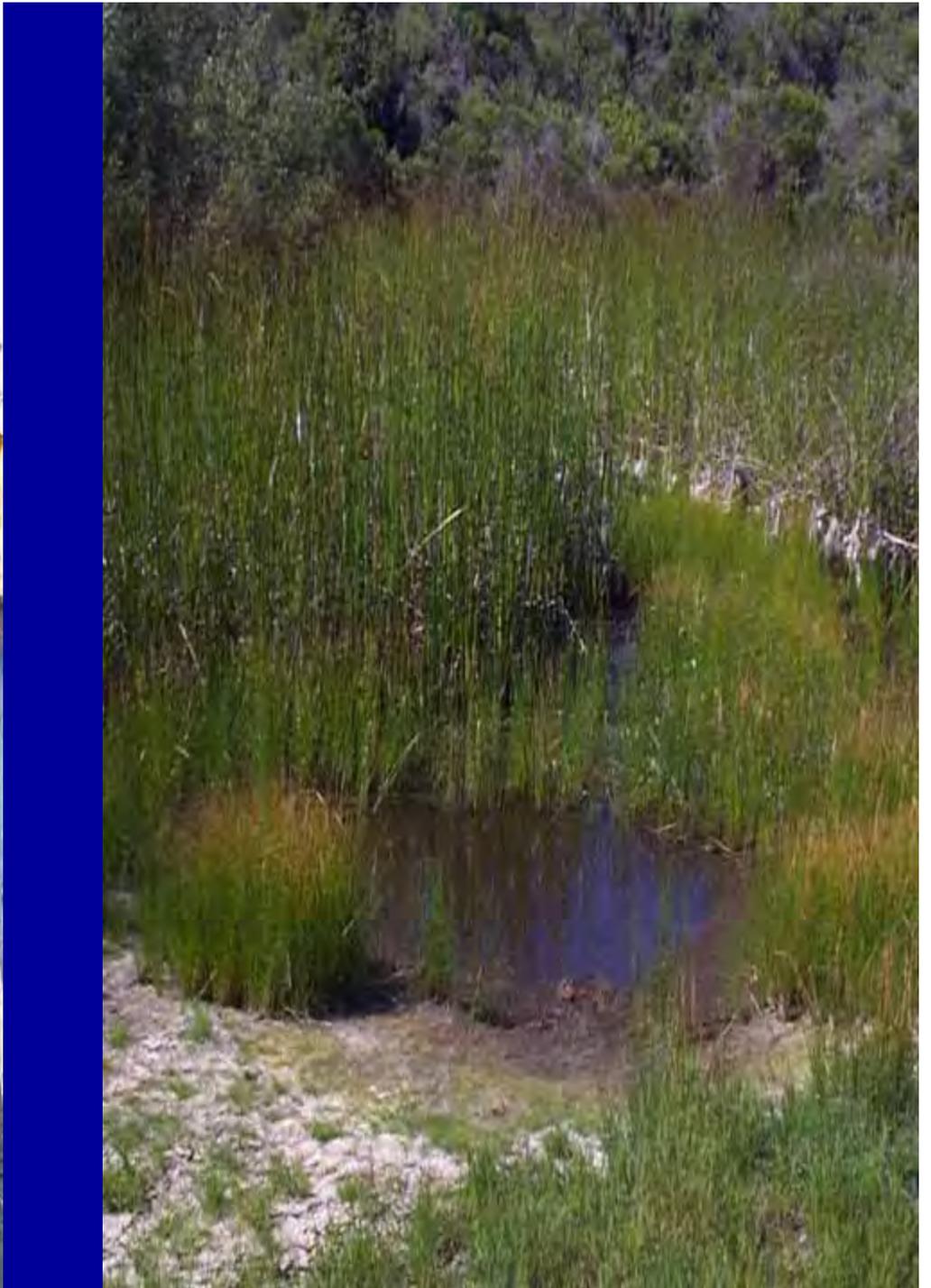
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# Scoping Ecological Assessment

- When is it required?
  - DTSC-specific examples
    - Hazardous waste site or permitting assessment before, during, and/or after removal action, remedy selection, remedial action, or corrective action
    - Support of declarations of imminent and substantial endangerment
    - Use as evidence in enforcement actions
    - Assess risk to biota whenever the Department Requires corrective action pursuant Health and Safety Code 25187 or 25200.10

# Scoping Ecological Assessment

- Level of Effort?
  - Depending on the site and its habitat value:
    - One paragraph
    - Detailed site investigation and report



# Risk is Possible When:

1. Chemicals of Potential Ecological Concern (COPECs) are present
2. Receptors are present; and
3. They Come in Contact

**A Scoping Assessment Makes this Determination**

# Components of Scoping Assessment

1. Site Physical and Chemical Characterization
2. Biological Characterization
3. Pathway Assessment
4. Scoping Results and Decision Criteria

# 1. Site Physical and Chemical Characterization

- Location and property lines
- Land use, current and past
- Topography including drainages
- Surrounding land use
- Adjacent areas of significant environmental value
- Identify COPECs

# Site Physical Characterization



# Site Chemical Characterization

- Site History
  - What was the site used for?
  - What chemicals were used?
  - What were the waste products?
- Organic COPECs
- Inorganic Background
  - Selection of Inorganic COPECs (DTSC 1997)







# Site Chemical Characterization

## Potential Media for Chemical Analysis

- Surface Soils
- Surface Water
- Sediment
- Groundwater

# Summarize Data, COPECs

- Chemical name
- Media
- Site Records
- Number of detects
- Reporting limits
- Minimum
- Maximum
- Mean
- Standard Deviation
- 95<sup>th</sup> UCL
- Extent

## 2. Biological Characterization

- Identification of each distinct habitat
  - Habitat types
    - Terrestrial versus wetland
    - Special status species
- Species identification
  - Potential receptors in various habitats

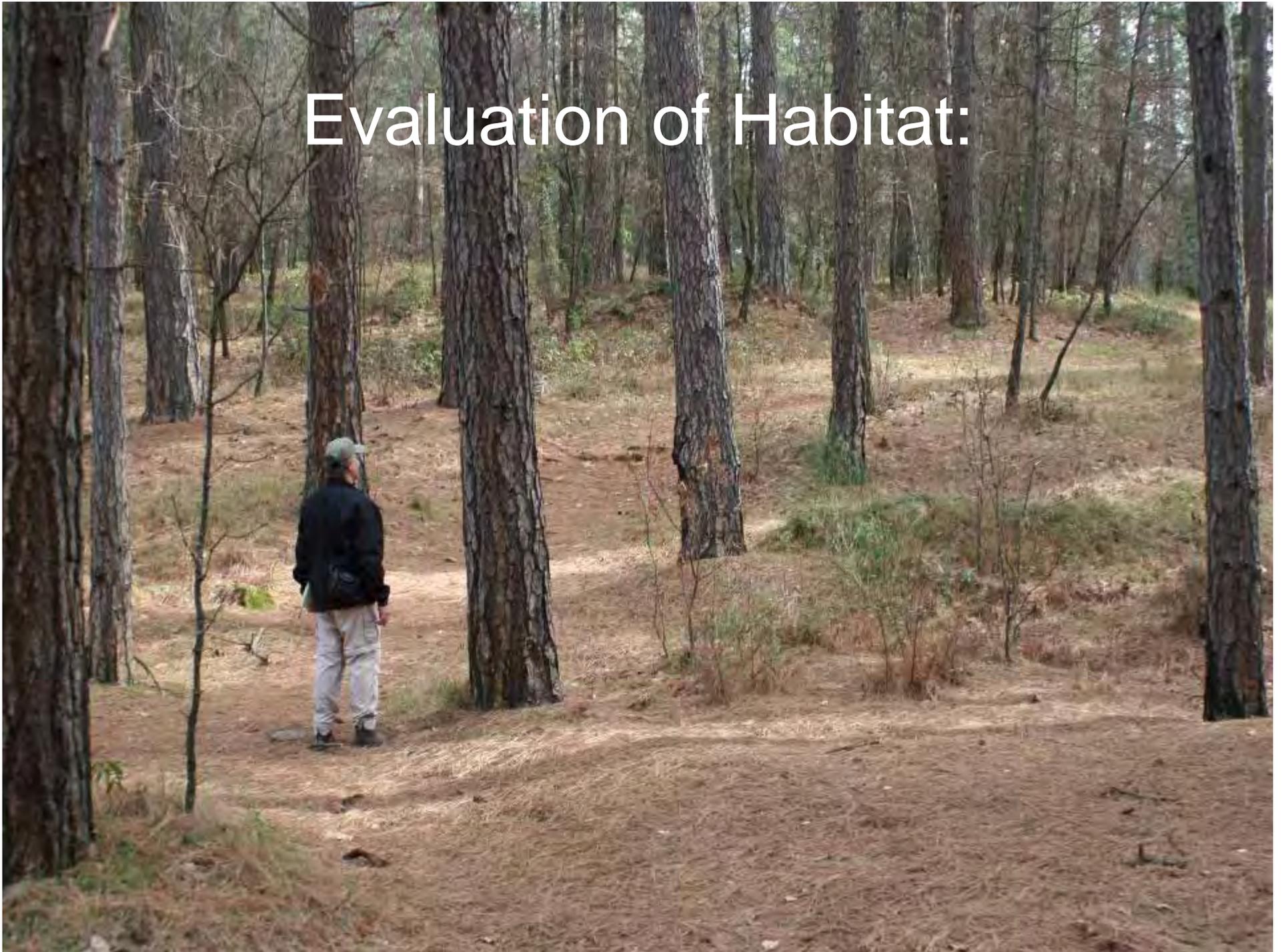
# Biological Characterization (Cont.)

- Perform Site Habitat Surveys
  - Based on the physical characterization results, surveys should be conducted during the optimum time to observe and identify species
- Identification of special status species and their habitats
  - CDFG Database Review versus Protocol Survey

May require surveys during multiple seasons  
During the appropriate time of the year to identify  
presence or absence.



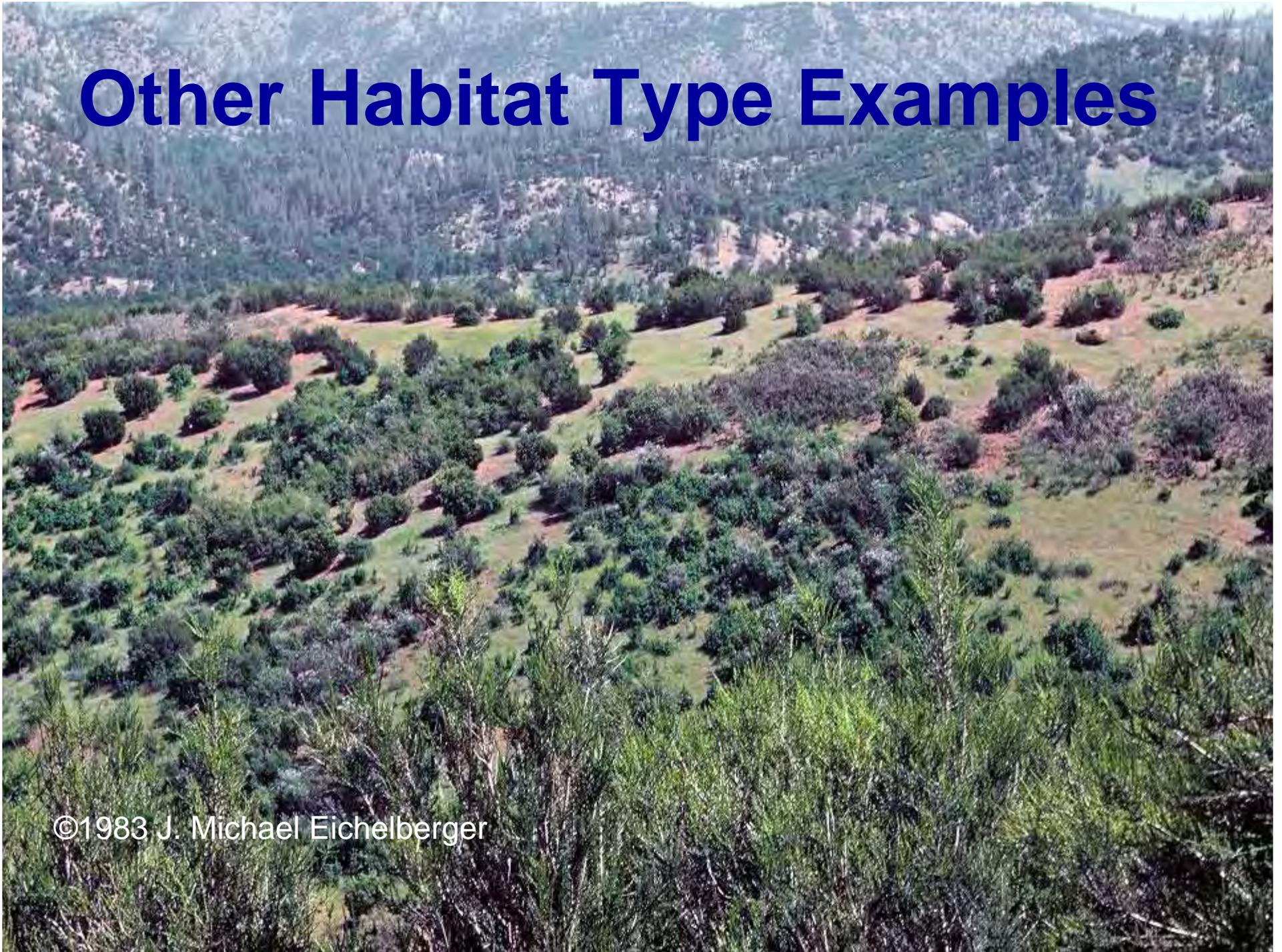
# Evaluation of Habitat:





# Other Habitat Type Examples

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# Wetlands and Streams



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# Sensitive Habitat



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# Special Status Species

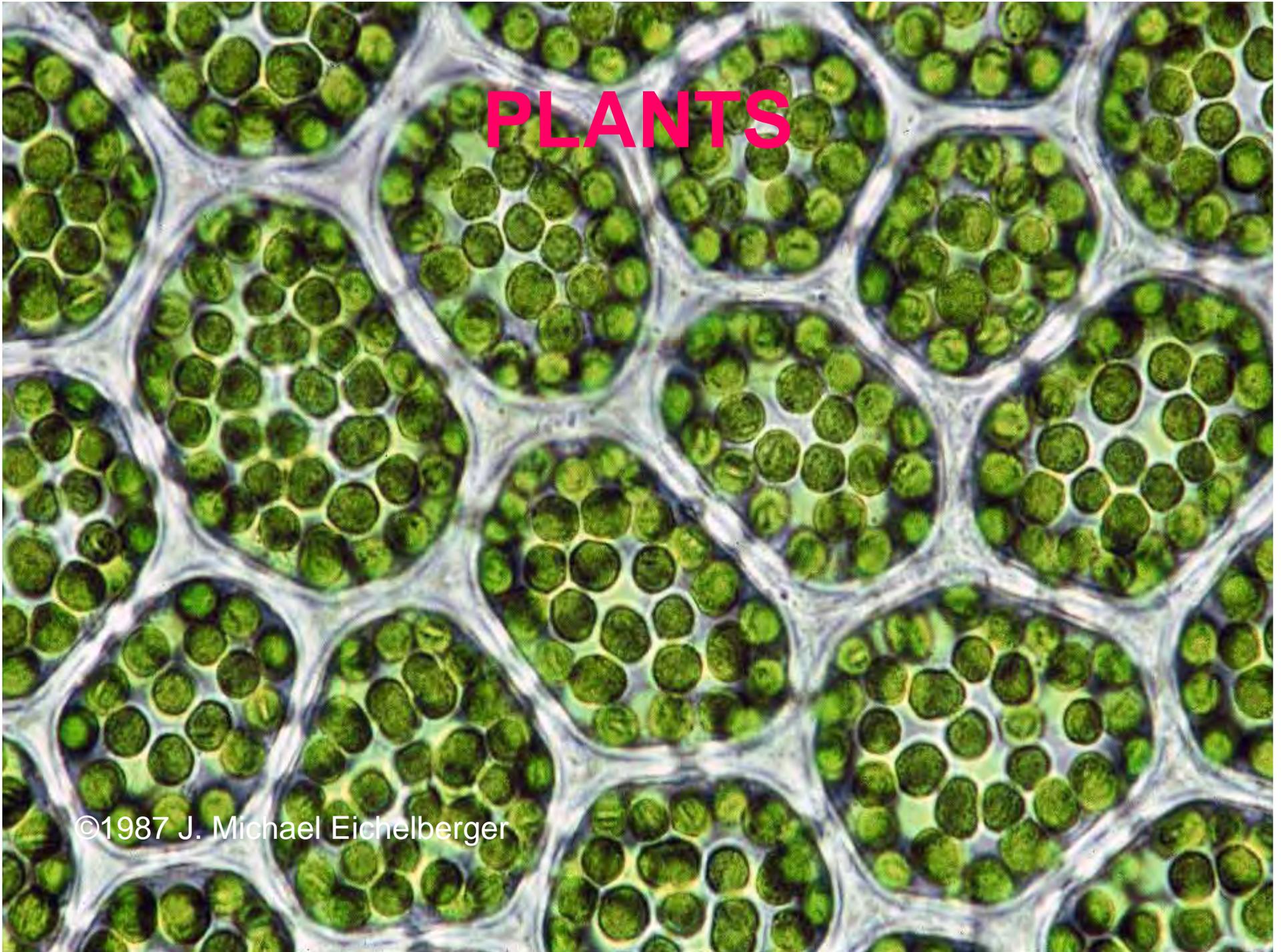


# Potential Receptors

- Terrestrial Plants
- Aquatic Plants
- Terrestrial Invertebrates
- Freshwater Invertebrates
- Fish
- Amphibians
- Reptiles
- Birds
- Mammals

# PLANTS

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# Terrestrial Plants

Primary producers



# Aquatic Plants & Invertebrates



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**Primary Consumer**

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# Terrestrial Invertebrates



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Robert Pottis©California Academy of Sciences

**Detritivores, Primary and Secondary Consumers**



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# Amphibians

Secondary Consumer



Jens V. Vindum ©1999 California Academy of Sciences

Salamanders and Frogs



Snakes, Lizards and Turtles

# Reptiles

Primary, Secondary  
& Tertiary Consumers

» Evaluate Qualitatively

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Primary Consumer

# Herbivorous Birds

© Joyce Gross



# Invertivorous Birds

Secondary Consumer

Select those species that preferentially forage  
On the ground



# Carnivorous Birds

Tertiary Consumer



©2004 George W. Hartwell

# Herbivorous Mammals

Primary Consumer



# Invertivorous Mammals

Secondary Consumer



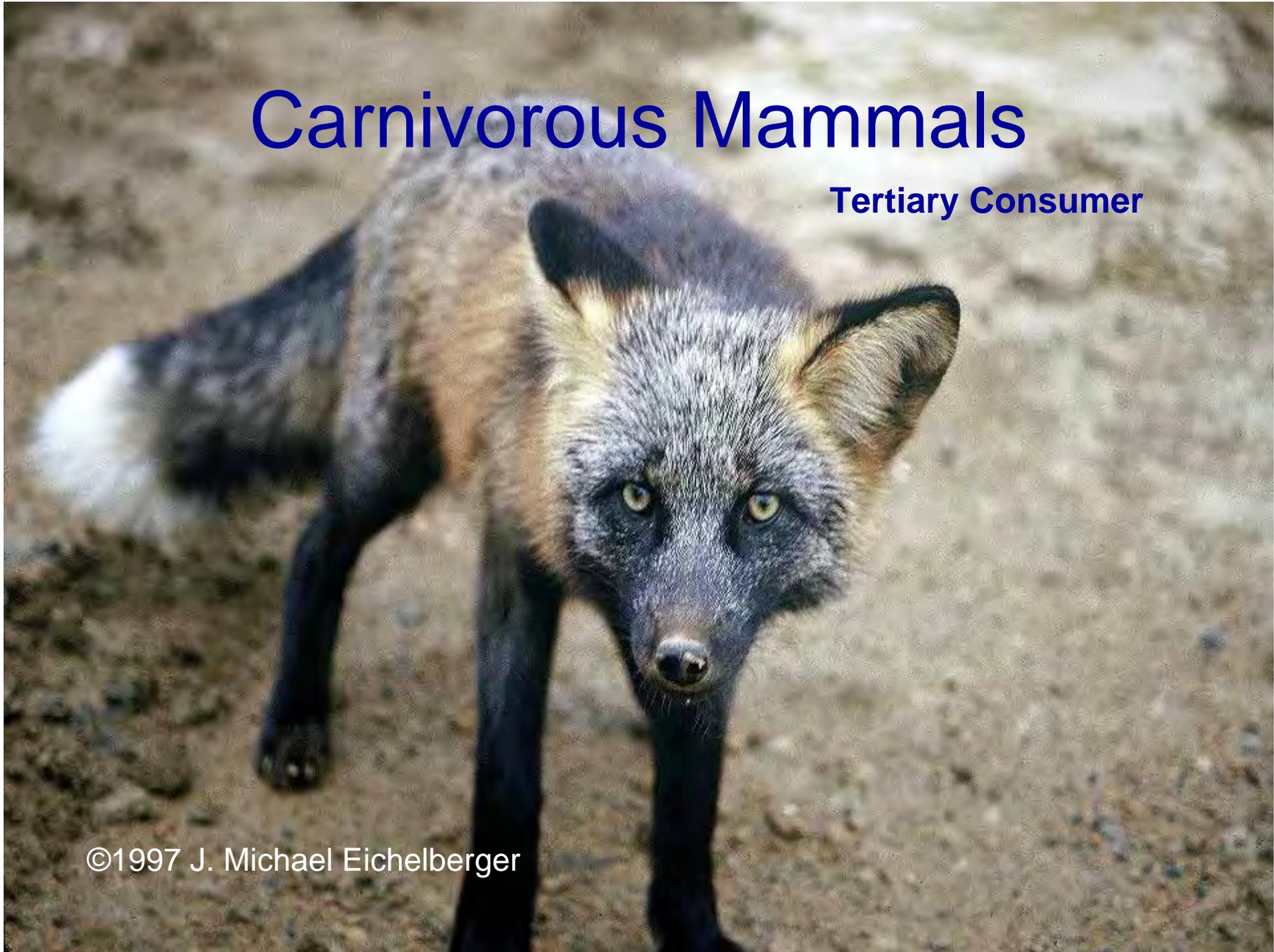
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# Carnivorous Mammals

Tertiary Consumer



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# 3. Pathway Assessment

A complete ecological exposure pathway includes:

- A potential release to the environment
- A transport mechanism to the receptors of concern
- A point of contact exists for the contaminant and potential receptors
- An exposure route (e.g., ingestion, inhalation) at the point of contact

# Potential Exposure Pathways

- 1) Direct Contact
  - a) Soil/Sediment
  - b) Surface Water
  - c) Air
- 2) Indirect Contact
  - a) Food



## Soil

### DTSC Guidance:

Evaluate to at least 6 ft. below ground surface (see HERD Note 1).



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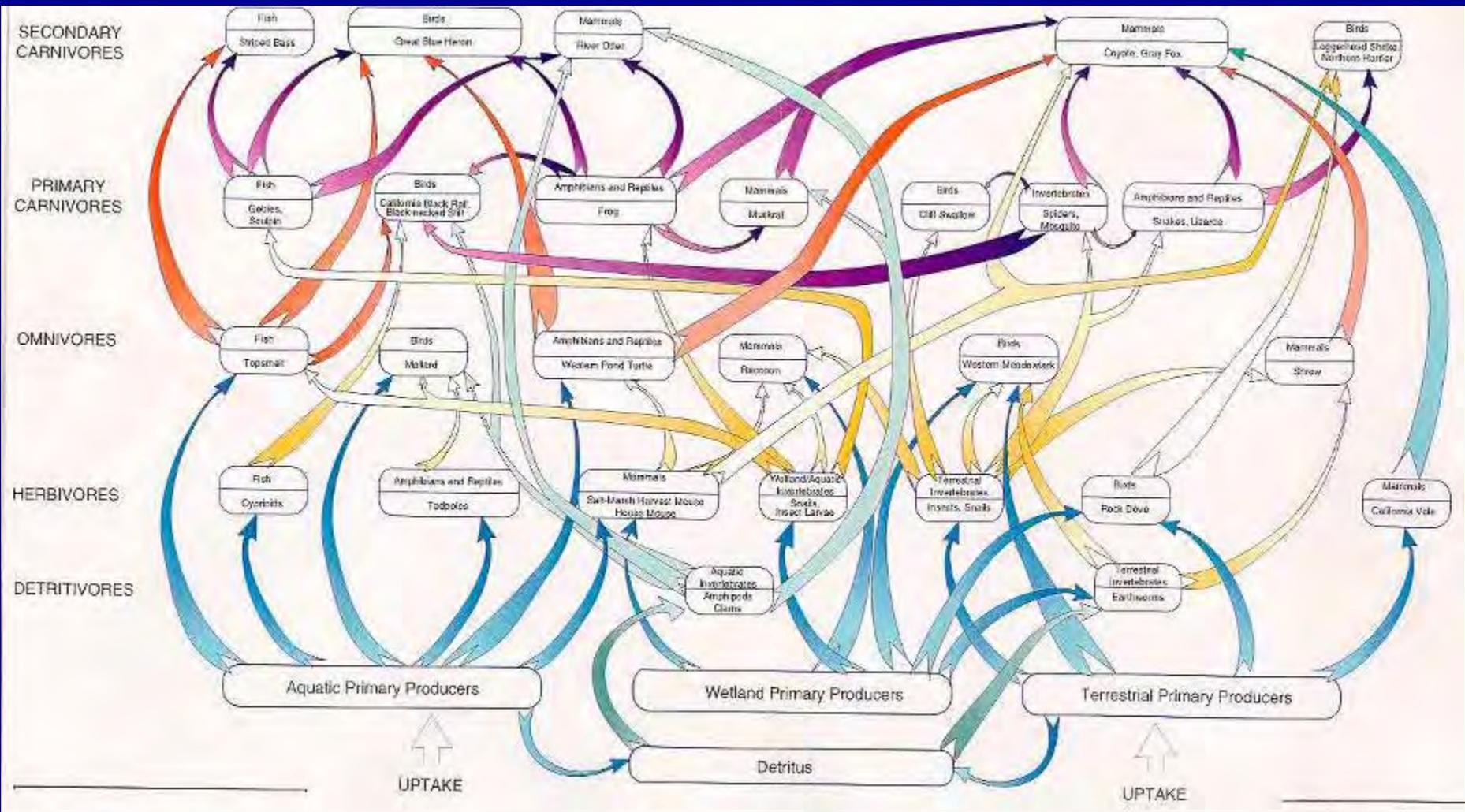


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# FOOD WEB PATHWAYS



Barn Owl: Feeding on rodents



Ringneck snake:  
Feeding on prey associated with the scat  
From the nest.



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CALIFORNIA DEPARTMENT OF TOXIC SUBSTANCES CONTROL  
July 4, 1996

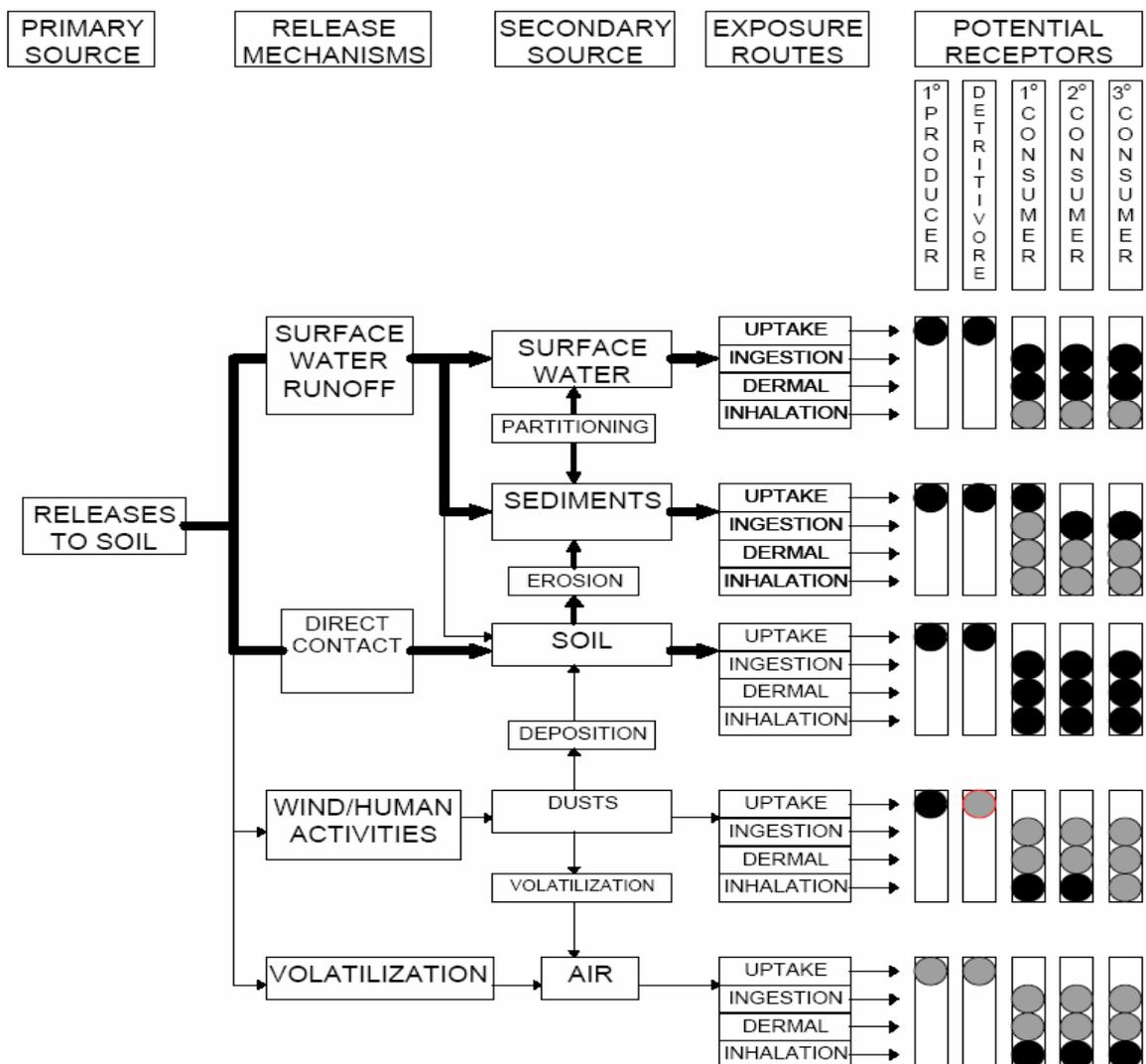


FIGURE 1. EXPOSURE PATHWAY ANALYSIS  
 ● = POTENTIALLY COMPLETE EXPOSURE PATHWAY  
 ○ = POSSIBLY COMPLETE EXPOSURE PATHWAYS (INSUFFICIENT DATA)  
 CLEAR = INCOMPLETE EXPOSURE PATHWAY

# 4. Scoping Results and Decision Criteria

## Two Possible Outcomes of Scoping Assessment

- Chemicals and Receptors Do not Come in Contact;
  - If this is the conclusion of the Scoping Assessment, there is no further investigation
- Chemicals and Receptors Do Come in Contact;
  - Prepare a Phase I Work Plan and proceed to a Predictive Ecological Risk Assessment

# Report

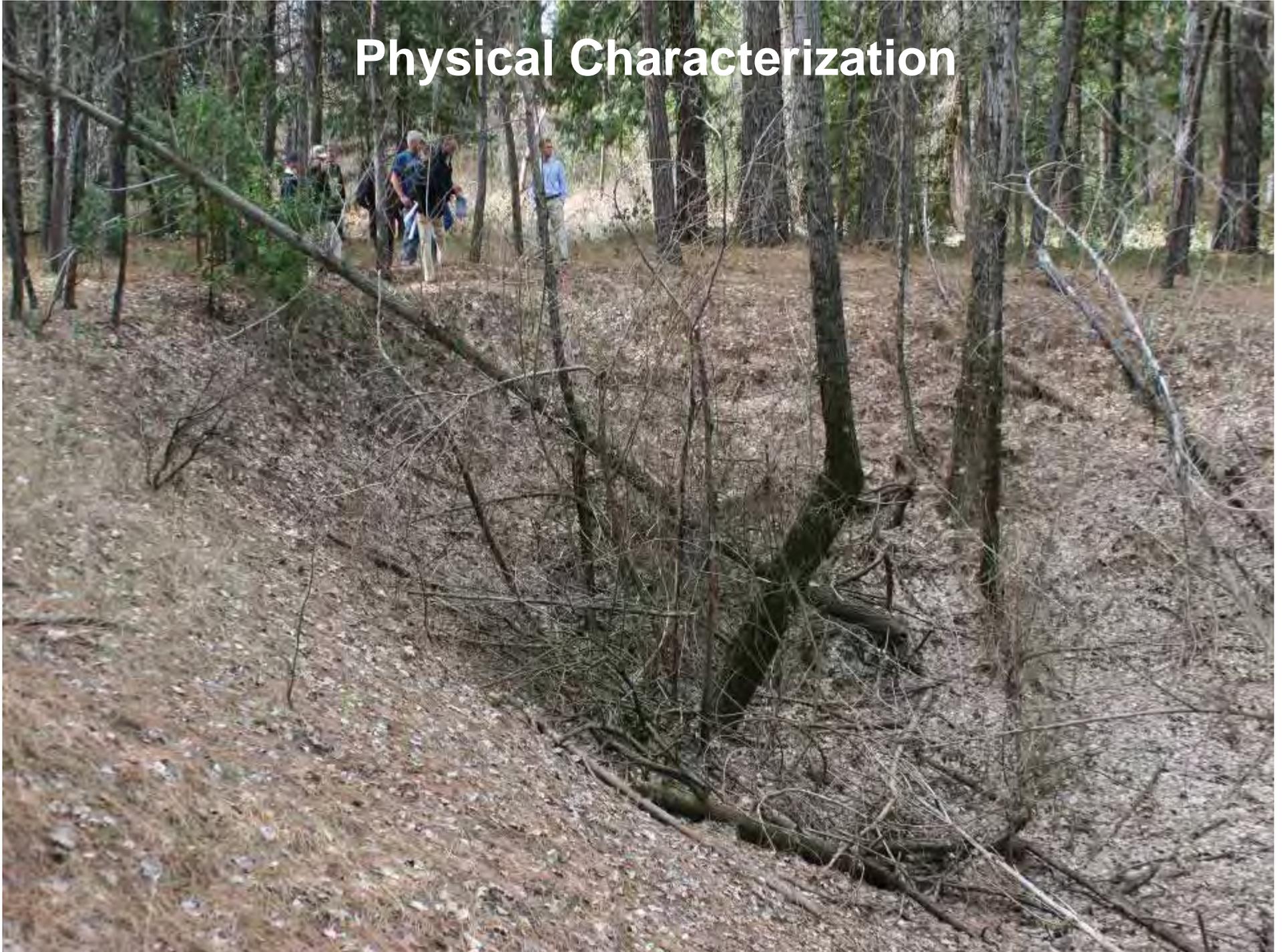
- Condense the results of the investigation.
- Present the report in sections according to the steps outlined in the Scoping Assessment Guidance.
- Include maps, figures and tables.
- Summarize the findings of the scoping assessment.

# MINE SITE EXAMPLE

Statewide  
165,000 Mine Features  
47,000 abandoned Mines



# Physical Characterization







# Chemical Characterization



# Biological Characterization



# Pathway Assessment



# Future Land Use Considerations

- If future land is not going to include habitat, and special status species are not present, the project need not proceed to a PERA and the project can exit the risk assessment process.
- However, if the project will not proceed directly to development and the project will provide habitat in the interim, then at a minimum, risk to birds and mammals should be evaluated (i.e., attractive nuisance assessment).

# DTSC Guidance for Scoping Assessments

- Guidance for Ecological Risk Assessment at Hazardous Waste Sites and permitted Facilities (1996)
  - Part A: Overview
  - Part B: Scoping
- Selecting Inorganic Constituents as Chemicals of Potential Concern at Risk Assessments at Hazardous Waste Sites and Permitted Facilities (1997)