

STATE OF CALIFORNIA  
ENVIRONMENTAL PROTECTION AGENCY  
DEPARTMENT OF TOXIC SUBSTANCES CONTROL

In the Matter of:

Jim Jonas Inc.  
dba Jonas Heating & Cooling  
dba Jonas Oil  
9125 HWY 53  
Lower Lake, California 95457

ID No. CAL000316856

Respondent.

Docket HWCA 2009 0431

STIPULATION AND ORDER

Health and Safety Code  
Section 25187

The State Department of Toxic Substances Control (Department) and Jim Jonas Inc. dba Jonas Heating & Cooling dba Jonas Oil (Respondent) enter into this Stipulation and Order (Order) and agree as follows:

1. A dispute exists regarding the Enforcement Order issued by the Department on January 21, 2010. (Attached as Exhibit.)
2. The parties wish to avoid the expense of further litigation and to ensure prompt action to achieve the Schedule for Compliance below.
3. Jurisdiction exists pursuant to Health and Safety Code section 25187.
4. Respondent waives any right to a hearing in this matter.
5. This Order shall constitute full settlement of the violations alleged in the Enforcement Order, but does not limit the Department from taking appropriate enforcement action concerning other violations.
6. The Respondent does not admit the allegations made in the Enforcement Order, and the entry of this Stipulation and Order shall not act as evidence of any

wrongdoing or illegal conduct on the part of Respondent, and may not be used as evidence of any admission of any fact or thing, in any other proceeding, except for the enforcement of the provisions of this Order, itself.

#### SCHEDULE FOR COMPLIANCE

7. The alleged violations have been corrected.

7.1. Submittals: All submittals from Respondent pursuant to this Order shall be sent to:

Roberto Kou, Acting Performance Manager  
Enforcement and Emergency Response Program  
Department of Toxic Substances Control  
9211 Oakdale Avenue  
Chatsworth, California 91311

7.3. Communications: All approvals and decisions of the Department made regarding such submittals and notifications shall be communicated to Respondent in writing by a Branch Chief, Department of Toxic Substances Control, or his/her designee. No informal advice, guidance, suggestions, or comments by the Department regarding reports, plans, specifications, schedules, or any other writings by Respondent shall be construed to relieve Respondent of its obligation to obtain such formal approvals as may be required.

7.4. Department Review and Approval: If the Department determines that any report, plan, schedule, or other document submitted for approval pursuant to this Order fails to comply with the Order or fails to protect public health or safety or the environment, the Department may:

a. Modify the document as deemed necessary and approve the document as modified; or

b. Return the document to Respondent with recommended changes and a date by which Respondent must submit to the Department a revised document incorporating the recommended changes.

7.5. Compliance with Applicable Laws: Respondent shall carry out this Order in compliance with all local, State, and federal requirements, including but not limited to requirements to obtain permits and to assure worker safety.

7.6. Endangerment during Implementation: In the event that the Department determines that any circumstances or activity (whether or not pursued in compliance with this Order) are creating an imminent or substantial endangerment to the health or welfare of people on the site or in the surrounding area or to the environment, the Department may order Respondent to stop further implementation for such period of time as needed to abate the endangerment. Any deadline in this Order directly affected by a Stop Work Order under this section shall be extended for the term of such Stop Work Order.

7.7. Liability: Nothing in this Order shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of Respondent, except as provided in this Order. Notwithstanding compliance with the terms of this Order, Respondent may be required to take further actions as are necessary to protect public health or welfare or the environment.

7.8. Site Access: Access to the Site shall be provided at all reasonable times to employees, contractors, and consultants of the Department, and any agency having jurisdiction. Nothing in this Order is intended to limit in any way the

right of entry or inspection that any agency may otherwise have by operation of any law. The Department and its authorized representatives may enter and move freely about all property at the Site at all reasonable times for purposes including but not limited to: inspecting records, operating logs, and contracts relating to the Site; reviewing the progress of Respondent in carrying out the terms of this Order; and conducting such tests as the Department may deem necessary. Respondent shall permit such persons to inspect and copy all records, documents, and other writings, including all sampling and monitoring data, in any way pertaining to work undertaken pursuant to this Order.

7.9. Sampling, Data, and Document Availability: Respondent shall permit the Department and its authorized representatives to inspect and copy all sampling, testing, monitoring, and other data generated by Respondent or on Respondent's behalf in any way pertaining to work undertaken pursuant to this Order. Respondent shall allow the Department and its authorized representatives to take duplicates of any samples collected by Respondent pursuant to this Order. Respondent shall maintain a central depository of the data, reports, and other documents prepared pursuant to this Order. All such data, reports, and other documents shall be preserved by Respondent for a minimum of six years after the conclusion of all activities under this Order. If the Department requests that some or all of these documents be preserved for a longer period of time, Respondent shall either comply with that request, deliver the documents to the Department, or permit the Department to copy the documents prior to destruction. Respondent shall notify the Department in writing at least six months prior to destroying any

documents prepared pursuant to this Order.

7.10. Government Liabilities: The State of California shall not be liable for injuries or damages to persons or property resulting from acts or omissions by Respondent or related parties specified in paragraph 9.3 in carrying out activities pursuant to this Order, nor shall the State of California be held as a party to any contract entered into by Respondent or its agents in carrying out activities pursuant to this Order.

7.11. Incorporation of Plans and Reports: All plans, schedules, and reports that require Department approval and are submitted by Respondent pursuant to this Order are incorporated in this Order upon approval by the Department.

7.12. Extension Requests: If Respondent is unable to perform any activity or submit any document within the time required under this Order, the Respondent may, prior to expiration of the time, request an extension of time in writing. The extension request shall include a justification for the delay.

7.13. Extension Approvals: If the Department determines that good cause exists for an extension, it will grant the request and specify in writing a new compliance schedule.

## PAYMENTS

8. Respondent shall pay the Department a total of Fifteen Thousand Dollars (\$15,000) as a penalty. Respondent shall make an initial payment to the Department in the amount of Five Thousand Dollars (\$5,000) on or before October 15, 2011 and the remaining penalty shall be paid in two (2) installments as follows: a second payment to the Department in the amount of Five Thousand Dollars

(\$5,000) on or before January 15, 2012; and a final payment to the Department in the amount of Five Thousand Dollars (\$5,000) on or before April 15, 2012. In the event that any payment is not received by the dates specified above, the entire remaining balance shall become immediately due and payable. Respondent's checks shall be made payable to Department of Toxic Substances Control, and shall be delivered together with the attached Payment Voucher to:

Department of Toxic Substances Control  
Accounting Office  
1001 I Street  
P. O. Box 806  
Sacramento, California 95812-0806

A photocopy of the check shall be sent:

To: Roberto Kou, Acting Performance Manager  
Enforcement and Emergency Response Program  
Department of Toxic Substances Control  
9211 Oakdale Avenue  
Chatsworth, California 91311

To: Debra Schwartz, Senior Staff Counsel  
Office of Legal Affairs  
Department of Toxic Substances Control  
9211 Oakdale Avenue  
Chatsworth, California 91311

If Respondent fails to make payment as provided above, Respondent agrees to pay interest at the rate established pursuant to Health and Safety Code section 25360.1 and to pay all costs incurred by the Department in pursuing collection including attorney's fees.

Pursuant to California Code of Regulations, title 22, section 66272.68, subsection (d) (Ability to Pay), and the information provided by the Respondent, the Department evaluated the Respondent's Ability to Pay claim and determined that

the Respondent could pay a total of \$15,000 in penalties. The monetary settlement in paragraph 8 above reflects the Respondent's Ability to Pay claim, and not the merits of the Department's allegations or the seriousness of the violations alleged in the Administrative Enforcement Order.

### OTHER PROVISIONS

9.1. Additional Enforcement Actions: By agreeing to this Order, the Department does not waive the right to take further enforcement actions, except to the extent provided in this Order.

9.2. Penalties for Noncompliance: Failure to comply with the terms of this Order may subject Respondent to civil penalties and/or punitive damages for any costs incurred by the Department or other government agencies as a result of such failure, as provided by Health and Safety Code section 25188 and other applicable provisions of law.

9.3 Parties Bound: This Order shall apply to and be binding upon Respondent and its officers, directors, agents, receivers, trustees, employees, contractors, consultants, successors, and assignees, including but not limited to individuals, partners, and subsidiary and parent corporations, and upon the Department and any successor agency that may have responsibility for and jurisdiction over the subject matter of this Order.

9.4. Effective Date: The effective date of this Order is the date it is signed by the Department.

9.5. Integration: This agreement constitutes the entire agreement between the parties and may not be amended, supplemented, or modified, except as

provided in this agreement.

9.6. Compliance with Waste Discharge Requirements: Respondent shall comply with all applicable waste discharge requirements issued by the State Water Resources Control Board or a California regional water quality control board.

Dated: September 12, 2011      Original signed by James E. Jonas

Respondent's Authorized Representative

Dated: September 19, 2011      Original signed by Roberto Kou  
Roberto Kou, Acting Performance Manager  
Emergency Response and Enforcement Program  
Department of Toxic Substances Control

# EXHIBIT

STATE OF CALIFORNIA  
ENVIRONMENTAL PROTECTION AGENCY  
DEPARTMENT OF TOXIC SUBSTANCES CONTROL

In the Matter of:

Jim Jonas Inc.  
dba Jonas Heating & Cooling  
dba Jonas Oil  
9125 HWY 53  
Lower Lake, California 95457

ID No. CAL000316856

Respondent.

Docket HWCA 2009 0431

ENFORCEMENT ORDER

Health and Safety Code  
Section 25187

INTRODUCTION

1.1. Parties. The State Department of Toxic Substances Control (Department) issues this Enforcement Order (Order) to Jim Jonas Inc. dba Jonas Heating & Cooling dba Jonas Oil (Respondent).

1.2. Site: Respondent generates hazardous waste at the following site: 9125 HWY 53 and 16445 main Street, Lower Lake, California 95457 (Site).

1.3. Authorization status: None.

1.4. Jurisdiction. Section 25187 of the Health and Safety Code authorizes the Department to order action necessary to correct violations and assess a penalty when the Department determines that any person has violated specified provisions of the Health and Safety Code or any permit, rule, regulation, standard, or requirement issued or adopted pursuant thereto.

DETERMINATION OF VIOLATIONS

2. The Department has determined that:

2.1. Respondent violated California Health & Safety Code Section 25163, subdivision (a)(1) and California Code of Regulations, title 22, section 66263.11, subdivision (a)(2) by transporting hazardous waste without a hauler registration and proof of ability to provide adequate response in damages from the operation of the person's business. Specifically, based on the statements made by Mr. James Jonas and Mr. Jeffry Ellis during the Department's inspection dated May 13, 2009 and Napa County CUPA inspection report dated January 6, 2009 (**Attachment 1**), it was determined that Respondent did not hold either a valid registration issued by the Department or an adequate liability insurance coverage for carrying on or engaging in transportation of hazardous waste.

2.2. Respondent violated California Health & Safety Code, Section 25160, subdivision (d)(1) by transporting hazardous waste without a manifest. Specifically, based on the statements made by Mr. James Jonas and Mr. Jeffry Ellis during the Department's inspection dated May 13, 2009 and Napa County CUPA inspection report dated January 6, 2009 (**Attachment 1**), it was determined that the company did not use a manifest for transportation of hazardous waste.

2.3. Respondent violated California Code of Regulations, title 22, section 66263.23, subdivision (b) by transporting hazardous waste to an unauthorized point. Specifically, Respondent transported hazardous waste to its petroleum distributing yard located at 16445 Main Street, Lower Lake, California, which did not have an appropriate and valid Hazardous Waste Facility Permit or which is otherwise authorized by the Department to receive hazardous waste.

2.4. Respondent violated California Health & Safety Code, Section 25201,

subdivision (a) by storing/handling and accepting hazardous waste without a permit or other grant of authorization from the Department. Specifically, based on the statements made by Mr. James Jonas and Mr. Jeffrey Ellis during the Department's inspection dated May 13, 2009, Respondent accepted, handled and stored hazardous waste at its petroleum distributing yard located at 16445 Main Street, Lower Lake, California. See **Attachment 2** for Images of Photos from containers of hazardous waste accepted/stored at the above mentioned location.

2.5. Respondent violated California Code of Regulations, title 22, section 66262.10, subdivision (h) by failing to provide training for its personnel handle hazardous waste. Specifically, based on the statements made by Mr. James Jonas during the Department's inspection dated May 13, 2009, Respondent personnel did not have adequate training in handling hazardous waste.

### SCHEDULE FOR COMPLIANCE

3. Based on the foregoing Determination of Violations, IT IS HEREBY ORDERED THAT:

3.1. Effective immediately, Respondent shall cease transporting hazardous waste without a valid registration as a hazardous waste hauler.

3.2. Effective immediately, Respondent shall cease transporting hazardous waste without a manifest.

3.3. Effective immediately, Respondent shall not deliver/transport hazardous waste to an unauthorized point.

3.4. Effective immediately, Respondent shall not accept, handle/store any hazardous waste prior to obtaining authorization from the Department.

3.5. Within 30 days of the effective date of this Order, Respondent shall provide training for its personnel handle hazardous waste.

3.6. Submittals. All submittals from Respondent pursuant to this Order shall be sent to:

Robert Kou, Acting Performance Manager  
Enforcement and Emergency Response Program  
Department of Toxic Substances Control  
Chatsworth Branch

3.7. Communications. All approvals and decisions of the Department made regarding submittals and notifications will be communicated to Respondent in writing by the Branch Chief, Department of Toxic Substances Control, or his/her designee. No informal advice, guidance, suggestions, or comments by the Department regarding reports, plans, specifications, schedules, or any other writings by Respondent shall be construed to relieve Respondent of the obligation to obtain such formal approvals as may be required.

3.8. Department Review and Approval. If the Department determines that any report, plan, schedule, or other document submitted for approval pursuant to this Order fails to comply with the Order or fails to protect public health or safety or the environment, the Department may:

- a. Modify the document as deemed necessary and approve the document as modified, or
- b. Return the document to Respondent with recommended changes and a date by which Respondent must submit to the Department a revised document incorporating the recommended changes.

3.9. Compliance with Applicable Laws: Respondent shall carry out this Order in

compliance with all local, State, and federal requirements, including but not limited to requirements to obtain permits and to assure worker safety.

3.10. Endangerment during Implementation: In the event that the Department determines that any circumstances or activity (whether or not pursued in compliance with this Order) are creating an imminent or substantial endangerment to the health or welfare of people on the site or in the surrounding area or to the environment, the Department may order Respondent to stop further implementation of this Order for such period of time as needed to abate the endangerment. Any deadline in this Order directly affected by a Stop Work Order under this section shall be extended for the term of the Stop Work Order.

3.11. Liability: Nothing in this Order shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of Respondent. Notwithstanding compliance with the terms of this Order, Respondent may be required to take further actions as are necessary to protect public health or welfare or the environment.

3.12. Site Access: Access to the site shall be provided at all reasonable times to employees, contractors, and consultants of the Department, and any agency having jurisdiction. Nothing in this Order is intended to limit in any way the right of entry or inspection that any agency may otherwise have by operation of any law. The Department and its authorized representatives shall have the authority to enter and move freely about all property at the Site at all reasonable times for purposes including but not limited to: inspecting records, operating logs, and contracts relating to the Site; reviewing the progress of Respondent in carrying out the terms of this Order; and

conducting such tests as the Department may deem necessary. Respondent shall permit such persons to inspect and copy all records, documents, and other writings, including all sampling and monitoring data, in any way pertaining to work undertaken pursuant to this Order.

3.13. Data and Document Availability. Respondent shall permit the Department and its authorized representatives to inspect and copy all sampling, testing, monitoring, and other data generated by Respondent or on Respondent's behalf in any way pertaining to work undertaken pursuant to this Order. Respondent shall allow the Department and its authorized representatives to take duplicates of any samples collected by Respondent pursuant to this Order. Respondent shall maintain a central depository of the data, reports, and other documents prepared pursuant to this Order. All such data, reports, and other documents shall be preserved by Respondent for a minimum of six years after the conclusion of all activities under this Order. If the Department requests that some or all of these documents be preserved for a longer period of time, Respondent shall either comply with that request, deliver the documents to the Department, or permit the Department to copy the documents prior to destruction. Respondent shall notify the Department in writing at least six months prior to destroying any documents prepared pursuant to this Order.

3.14. Government Liabilities: The State of California shall not be liable for injuries or damages to persons or property resulting from acts or omissions by Respondent or related parties in carrying out activities pursuant to this Order, nor shall the State of California be held as a party to any contract entered into by Respondent or its agents in carrying out activities pursuant to the Order.

3.15. Incorporation of Plans and Reports. All plans, schedules, and reports that require Department approval and are submitted by Respondent pursuant to this Order are incorporated in this Order upon approval by the Department.

3.16. Extension Request: If Respondent is unable to perform any activity or submit any document within the time required under this Order, the Respondent may, prior to expiration of the time, request an extension of time in writing. The extension request shall include a justification for the delay.

3.17. Extension Approvals: If the Department determines that good cause exists for an extension, it will grant the request and specify in writing a new compliance schedule.

#### OTHER PROVISIONS

4.1. Additional Enforcement Actions: By issuance of this Order, the Department does not waive the right to take further enforcement actions.

4.2. Penalties for Noncompliance: Failure to comply with the terms of this Order may also subject Respondent to costs, penalties, and/or punitive damages for any costs incurred by the Department or other government agencies as a result of such failure, as provided by Health and Safety Code section 25188 and other applicable provisions of law.

4.3. Parties Bound: This Order shall apply to and be binding upon Respondent, and its officers, directors, agents, employees, contractors, consultants, receivers, trustees, successors, and assignees, including but not limited to individuals, partners, and subsidiary and parent corporations.

4.4. Time Periods. "Days" for purposes of this Order means calendar days.

PENALTY

5. Based on the foregoing DETERMINATION OF VIOLATION, the Department sets the amount of Respondent's penalty at \$52,500. Payment is due within 30 days from the effective date of the Order. Respondent's check shall be made payable to the Department of Toxic Substances Control, and shall identify the Respondent and Docket Number, as shown in the heading of this case. Respondent shall deliver the penalty payment to:

Department of Toxic Substances Control  
Accounting Office  
1001 I Street, 21st floor  
P. O. Box 806  
Sacramento, California 95812-0806

A photocopy of the check shall be sent to:

Mehdi Nobari, Hazardous Substances Scientist  
Enforcement and Emergency Response Program  
Department of Toxic Substances Control  
9211 Oakdale Avenue  
Chatsworth, California 91311

And

Debra Schwartz, Senior Staff Counsel  
Office of Legal Affairs  
Department of Toxic Substances Control  
9211 Oakdale Avenue  
Chatsworth, California 91311

RIGHT TO A HEARING

6. Respondent may request a hearing to challenge the Order. Appeal procedures are described in the attached Statement to Respondent.

EFFECTIVE DATE

7. This Order is final and effective twenty days from the date of mailing, which is

the date of the cover letter transmitting the Order to Respondent, unless Respondent requests a hearing within the twenty-day period.

Date of Issuance January 21, 2010

Original signed by Robert Kou  
Robert Kou, Performance Manager  
Enforcement and Emergency Response Program  
Department of Toxic Substances Control  
Chatsworth Office