



Matthew Rodriguez
Secretary for
Environmental Protection



Department of Toxic Substances Control

Deborah O. Raphael, Director
9211 Oakdale Avenue
Chatsworth, California 91311-6505



Edmund G. Brown Jr.
Governor

SUMMARY OF VIOLATIONS

On December 6-7, 2012, the Department of Toxic Substances Control (DTSC), California Environmental Protection Agency, conducted an inspection at:

Facility Name: Kramar's Iron & Metal, Inc. (KIMCO)
Facility Address: 8821 San Fernando Road and 9053 Norris Avenue
Sun Valley, CA 91352

EPA ID Number: CAL000177553 and CAL000377407 **County:** Los Angeles

As a result of these inspections, violations of hazardous waste laws, regulations, and requirements listed on the following pages, the attached Appliance Recycler Inspection Checklist and the Universal Waste Handlers Inspection Checklist were discovered. All violations must be corrected and the actions you must take to correct the violations are listed with each violation. If you disagree with any of the violations or proposed corrective actions listed in this Summary of Violations, you should inform DTSC. Additional violations may be found after the site visit, and these will be identified in the Violation section of the inspection report.

DTSC will provide you a complete inspection report within 65 days of the date of this inspection. You may request a meeting with DTSC to discuss the inspection, inspection report, or the Summary of Violations. The issuance of this Summary of Violations does not preclude DTSC from taking administrative, civil, or criminal action as a result of the violations noted in the Summary of Violations or violations that have not been corrected within the time provided. A false statement that compliance has been achieved is a violation of the law and punishable by a fine of not less than \$2,000 or more than \$25,000 for each occurrence. DTSC may re-inspect this facility at any time.

Company Representative Accepting Summary

Name Nitchell Kramar
Signature [Handwritten Signature]
Title ~~Facility~~ Site Manager
Date 12-7-12

DTSC Representative

Name Larry Stuck
Signature [Handwritten Signature]
Title Senior Hazardous Substances Scientist
Date 12-7-12

Department of Toxic Substances Control

9211 Oakdale Avenue
Chatsworth, California 91311-6505

SUMMARY OF VIOLATIONS

Facility Name: Kramar's Iron & Metal Inc. **Date:** December 6-7, 2012

SECTION I: NON - MINOR VIOLATIONS AND REQUIRED CORRECTIVE ACTION (Violations not considered Minor Violations)

Violation 1: Kramar Iron and Metal, Inc. (KIMCO) violated Health and Safety Code (H&SC), section 25211 et seq., in that on or about December 6 and 7, 2012, it failed to remove "materials requiring special handling" (MRSH) from all major appliances that it received.

SCHEDULE FOR COMPLIANCE:

KIMCO must immediately correct the additional violations identified on the attached Certified Appliance Recycler checklist.

KIMCO shall immediately cease accepting any major appliances until such time that KIMCO can demonstrate that it is capable of properly removing "materials requiring special handling" from all major appliances and that Kramar can comply with the provisions of Chapter 6.5, sections 25211 et seq. of the Health and Safety Code and Public Resources Code, Chapter 3.5, section 42160 et seq.

Within 60 days of this SOV, KIMCO shall remove any and all appliances and MRSH components from its existing scrap metal stockpiles located at 9053 Norris Avenue and 8821 San Fernando Road facilities in Sun Valley, and manage them as hazardous waste. KIMCO shall take measures to ensure that all outgoing scrap metal shipments do not contain any MRSH.

Violation 2: KIMCO violated California Code of Regulations, Title 22, Section 66273, in that on or about December 6 and 7, 2012, KIMCO failed to send a written notification to the Department of Toxic Substances Control 30 days prior to accepting, accumulating, and treating any Universal Wastes (i.e., electronic devices, batteries, etc.).

SCHEDULE FOR COMPLIANCE:

KIMCO must immediately correct the additional violations identified on the attached Universal Waste Handlers of Electronic Devices' checklist.

KIMCO shall immediately cease accepting Universal Wastes (i.e., electronic waste, batteries, etc.).

Within 60 days of this SOV, KIMCO shall remove any and all Universal wastes from its existing stockpiles located at 9053 Norris Avenue and 8821 San Fernando Road facilities in Sun Valley, and manage them in accordance with Universal Waste regulations. KIMCO shall take measures to ensure that all incoming and outgoing scrap metal shipments do not contain any Universal Wastes.

APPLIANCE RECYCLER INSPECTION CHECKLIST (INSPECTION REPORT)

Facility Name: Kramak's Iron and Metal
 Identification Number: CA1000177533
 Facility Address: 8821 San Fernando Road
Sun Valley, CA 91352
 Date Inspected: Dec. 6, 2012
 Inspected by: Larry Stuck, Antonia Becker, Paul Baranach,
Rick Jones, Van-Anh Le, Anne Ekker
 Type of Inspection: Annual: Re-inspection: Complaint:
 CUPA: L.A. County Fire Dept. CUPA Representative: Solomon Brodsky
 Consent given by: Ron Kramar Title: Owner Time: 10:00 a.m.

DISCLAIMER

This checklist is intended to provide guidance only. This checklist does not replace or supersede relevant statutes and regulations. The information contained in this checklist is based upon the statutes and regulations in effect as of the date the checklist was signed. Interested parties are advised to keep apprised of subsequent changes to relevant statutes and regulations.

Note: Citations that start with the number "66" are to the California code of Regulations (CCR), title 22, division 4.5. Citations that start with the number "42" are to the Public Resources Code, chapter 3.5. Citations that start with the number "25" are to California Health and Safety Code, chapter 6.5.

Certified Appliance Recycler (CAR) Statutory Requirements	
✓	42175 – Failed to remove materials that require special handling (MRSH) from major appliances and vehicles in which they are contained prior to crushing for transport or transferring to a baler or shredder for recycling.
	25211.1(a) – Failed to receive appliance recycler certification (DTSC 1428) prior to removing MRSH from a major appliance.
✓	25211.1(b) – Failed to receive an appliance service technician certification pursuant to Section 82.161 of Title 40 of the Code of Federal Regulations prior to removing refrigerant from major appliances.
	25211.2(a) – Failed to provide evidence of being a Certified Appliance Recycler (CAR) to a scrap recycling facility to which a person transports, delivers, or sells discarded major appliances.
✓	25211.2(a) – Failed to certify on DTSC Form 1430 and provide the certification to the receiving facility, at the time of the transaction, that all MRSH have been removed from major appliances delivered to the scrap recycling facility.
	25211.2(a) – Failed to provide a complete DTSC Form 1430 to the receiving facility, at the time of the transaction, to wit:
	25211.2(a) – Failed to include the CAR certificate number on DTSC Form 1430.
	25211.2(a) – Failed to include the CAR hazardous waste generator ID# on DTSC Form 1430.
	25211.2(a) – Failed to include the number and types of appliances included in the shipment on DTSC Form 1430.
	25211.2(a) – Failed to include the destinations of the MRSH on DTSC Form 1430.
	25212(a) – Disposed of MRSH at a solid waste facility.
✓	25212(a) – Failed to remove MRSH from major appliances in which they are contained prior to the appliance being crushed, baled, shredded, sawed or sheared apart, or processed in a manner that could result in the release or prevent the removal of MRSH.

	Non-CAR appliance transporters/deliverers/sellers
	25211.2(b) – Transported, delivered, and/or sold a discarded major appliance to a scrap recycling facility that is not a CAR
	25211.2(b)(1) – Transported, delivered, and/or sold, to a scrap recycling facility, discarded major appliances that have not been crushed, baled, shredded, sawed or sheared apart, or otherwise processed in such a manner that could result in the release, or prevent the removal, of MRSH OR
✓	25211.2(b)(2)(A) – Failed to provide a complete certification that all MRSH had been removed from discarded major appliances that have been crushed, baled, shredded, sawed or sheared apart, or otherwise processed in such a manner that could result in the release, or prevent the removal, of MRSH and transported, delivered, and/or sold to a scrap recycling facility..
	Or, 25211.2(b)(2)(B) – For discarded major appliances that have been crushed, baled, shredded, sawed or sheared apart, or otherwise processed in such a manner that could result in the release, or prevent the removal, of MRSH and transported, delivered, and/or sold to a scrap recycling facility, failed to do all of the following: (1) present a form of government issued identification; (2) under penalty of perjury, provide the scrap recycling facility his or her name, address, telephone number, and written certification he or she obtained the appliance in the condition delivered to the scrap recycling facility and did not process the appliance or arrange to have it processed or knowingly accept the appliance from any other person who processed it or arranged to have it processed; and (3) provide the name and address of the person from whom the appliance was obtained, or include in the written certification the reason that the information is unavailable.
#	Scrap Recyclers Accepting 25211.2(b)(2)(B) Appliances (orphaned appliances)
✓	25211.2(d) – Failed to submit a monthly report of 25211.2(b)(2)(B) appliances (orphaned appliances) to DTSC and the local CUPA.
✓	25211.2(d)(1) – Failed to include, in the monthly orphaned appliance report, for each appliance, the name and address of the person who transported, delivered, or sold the appliance to the scrap recycling facility.
✓	25211.2(d)(2) – Failed to report the total number of orphaned appliances received in the monthly report.
	Records Retention
✓	25211.3 – Failed to retain records required under PRC section 42175 or HSC division 20, chapter 6.5, article 10.1 (sec.25211 et seq.) for a period of three years.
✓	25211.3 – Failed to make available for inspection records required under PRC section 42175 or HSC section 25211.2(b) upon the request of a representative of the department or a CUPA.
✓	25211.3(a) – Failed to record and maintain the amount, by volume or weight or both of each material that required special handling.
✓	25211.3(b) – Failed to record and maintain the method used by the appliance recycler to recycle, dispose of, or otherwise manage each material that required special handling, including the name and address of the facility to which each material was sent.
✓	25211.3(c) – Failed to record and maintain the number and types of appliances from which materials that require special handling are removed each year.
	25211.3(d) – Failed to record and maintain the reports required by HSC section 25211.2(c)

See L.A. County Fire Department, Mr. Solomon
 Brodsky, for Hazardous waste generator
 requirements and violations.

HAZARDOUS WASTE QUANTITY DETERMINATION

Mercury Switches/ month _____ (number) X 4 gram/switch X 1 kg/1000 grams = _____

PCB Capacitors 450 kg/55 gallon container X _____ containers/month = _____

Mercury thermostats/month _____ (number) X 4 grams/switch X 1 kg/1000 grams= _____

Sodium azide canisters/month _____ (number) X 50 grams/canister X 1 kg/1000 grams= _____

CFC refrigerants gallons/month _____ X 1000 kg/270 gallons= _____

(count only if disposed and not recycled per title 22 CCR 66261.4(b)(4))

Accumulation time limits:

If the facility generates > 100 kg/month (total hazardous waste), clock starts with first drop. If the facility generates <1000 kg/month (SQG) (total) AND never has >6000 kg onsite AND ships >200 miles the accumulation time limit is 270 days. If generating <1000 kg/month AND never has >6000 kg onsite the accumulation time limit is 180 days. If generating >1000 kg/month (LQG) the accumulation time limit is 90 days. If generating <100 kg/month, the 180/270 day accumulation time limits clock starts when the 100 kg threshold is exceeded.

Satellite wastes can be held for one year from first drop OR 90/180/270 days from the time the container is full, which comes first.

Hazardous Waste Generator Requirements	
	Record Keeping and Documentation
	66262.41 Failed to submit a biennial report every even year. Only if RCRA LQG
	66262.11 Failed to make a hazardous waste determination for all wastes by either analysis or generator knowledge.
	66265.53(a) Failed to have the contingency plan available for review.
	66265.52 Failed to include all the required elements in the contingency plan.
	66265.16(a)(1) Failed to train all facility personnel to ensure compliance with hazardous waste requirements.
	66265.16(a)(3) Failed to train all facility personnel to ensure that the employees can respond effectively to emergencies.
	66265.16(c) Failed to provide annual refresher training to all facility personnel.
	66265.16(d) Failed to maintain the required training documentation onsite.
	66265.16(e) Failed to maintain the required training documentation for former facility personnel for at least 3 years.
	66262.23(a)(1-5) Failed to comply with hazardous waste manifest requirements. 66263.23 (a)(4)
	66262.21(f) Failed to submit the "Generator copy" of the hazardous waste manifest to DTSC.
	66262.42(a) Failed to determine the status of hazardous waste shipments when the designated TSDf failed to return a signed copy of the hazardous waste manifest within 35 days of the initial shipping date, OR
	66262.42(b) Failed to submit an exception report to DTSC when shipments when the designated TSDf failed to return a signed copy of the hazardous waste manifest within 45 days of the initial shipping date.
	25160.2 Failed to retain receipts for hazardous waste shipped under the consolidated manifesting procedures.

	66268.1(f) Disposed of hazardous and/or universal waste that was prohibited from land disposal or that did not meet LDR treatment standards.
	66268.7(a)(1) Failed to determine if hazardous waste must meet LDR treatment standards.
	66268.7(a)(5)(C) Failed to have completed LDR documents or failed to have completed LDR documents available for inspection. Only for RCRA wastes
	66265.195(c) Failed to keep tank daily inspection logs if applicable. Only for LQGs
	66265.192(a) Failed to have a written tank assessment certified by a professional engineer. Only for LQGs. (SGQs have reduced requirements and need to comply with 40 CFR, Part 265, 201, subpart J)
	Labeling/Marking
	66262.34(a)(2) Failed to mark/label hazardous waste containers with the date upon which each period of accumulation begins.
	66262.34(f) Failed to keep markings/labels visible for inspection.
	66262.34(e)(1)(C) Failed to mark satellite containers with the initial accumulation date.
	66262.34(e)(3) Failed to mark filled satellite containers with the date filled.
	25143.9(a) Failed to label containers holding excluded recyclable materials properly. 66262.34 (f)
	66266.130(c)(3) Failed to mark drained used oil filters as "Drained Used Oil Filters".
	25250.22(b) Failed to store filters that contain a residue of gasoline or diesel fuel in containers that are designed to prevent ignition of the gasoline and label as "used oil and gasoline filters."
	66266.81(a)(6)(D) Failed to mark lead-acid batteries with date batteries are removed from service/ generated.
	25143.9(a) & 66279.21(b) Failed to mark tanks and containers holding used oil as "Used Oil".
	Container Management
	66265.171 Failed to transfer hazardous waste from containers not in good condition or which are leaking.
	66265.172 Failed to use containers made of or lined with materials compatible with the waste stored in the container.
	66265.173(a) Failed to keep hazardous waste containers closed except when adding or removing waste.
	66265.174 Failed to inspect containers weekly.
	66265.176 Failed to keep containers holding ignitable and reactive waste more than 50 feet away from the property line.
	66261.7(b) Failed to completely empty hazardous waste containers that the facility manages as empty containers.
	66262.34(e)(1)(A) Failed to keep satellite accumulation containers at or near the point of generation.
	66262.34(e)(1)(A) Failed to keep satellite accumulation containers under the control of an operator.
	66262.34(e)(2) Failed to keep only one container per waste stream in a satellite accumulation area.
	66265.1087 Failed to close tight a container >26 gallons & holds VOC containing waste w/ > 500 ppms.
	66265.35 Failed to maintain adequate aisle space to allow access to containers.
	25143.9(c) Failed to store excluded recyclable materials in accordance with local ordinance/hazardous materials codes.
	66265.32 Failed to equip the facility with emergency equipment and alarms/communication system.
	Accumulation Time
	66262.34(a) Failed to ship waste to an authorized TSDF within 90/180/270 days of the accumulation start date. [(a) LQGs; (b) CESQGs; (d) SQGs]
	66262.34(e)(1)(B) Failed to ship satellite waste to an authorized TSDF within 1 year from the first drop.
	66262.34(e)(1)(B) Failed to ship satellite waste to an authorized TSDF within 90/180/270 days from the filled date.

	66261.7(f) Failed to manage empty containers within 1 year.
	66266.130(c)(4) Failed to ship used oil filters within 1 year of accumulation or 180 days if > 1 ton onsite.
	66266.81(a)(6) Failed to ship spent lead acid batteries within 1 year of accumulation or 180 days if > 1 ton onsite.
	Treatment, Transport & Disposal
	25201(a) Failed to obtain authorization prior to conducting hazardous waste treatment.
	25201(a) Failed to offer hazardous waste for transport to an authorized transporter.
	25201(a) Failed to dispose waste only at an authorized points or parties.
	66265.31 Failed to maintain the facility in a manner that minimizes releases to the environment.
	66265.33 Failed to test and maintain in working order emergency equipment.
	Follow-up needed with local air district for issue regarding refrigerant management?

Inspection Checklist

Universal Waste Handlers of Electronic Devices, CRTs & CRT Glass

HAZARDOUS WASTE (HW) DETERMINATION	
<input checked="" type="checkbox"/>	66262.11 - Failed to determine if a waste was a HW, applying knowledge of the hazard characteristics, or excluded from regulation
<input checked="" type="checkbox"/>	PROHIBITIONS
<input type="checkbox"/>	66273.31(a) -- Disposed of universal waste.
<input checked="" type="checkbox"/>	66273.31(b) - Diluted or treated a UW unless requirements of this section are met.
<input checked="" type="checkbox"/>	NOTIFICATION
<input type="checkbox"/>	66273.32(a)(1) -- Failed to send a written notification of universal waste management to the Regional Administrator, and receive a federal ID Number, before accumulating 5,000 kilograms of universal waste.
<input type="checkbox"/>	66273.32(b) -- Failed to obtain a California ID Number pursuant to 66260.10 prior to accumulating more than 5,000 kg of non-RCRA UW.
<input checked="" type="checkbox"/>	66273.32(c)(1) -- Failed to notify the Department 30 calendar days prior to accepting and accumulating any electronic device, CRT, and/or CRT glass from any offsite source.
<input type="checkbox"/>	66273.32(c)(2) -- Submitted an incomplete notification.
<input checked="" type="checkbox"/>	66273.32(c)(3) -- Failed to notify the Department 30 calendar days prior to accepting any electronic device, CRT, and/or CRT glass from any offsite source for each location at which the universal waste handler accepts or accumulates electronic devices, CRTs and/or CRT glass.
<input checked="" type="checkbox"/>	66273.32(d)(1) -- A UW handler of > 100 kg (220 lbs.) of electronic devices, CRTs and CRT glass failed to submit an electronic or written Annual Report, by February 1 of the following year covering the previous year's activity.
<input type="checkbox"/>	66273.32(d)(2) -- A generator of >5,000 kg UW electronic devices, CRTs, and/or CRT glass failed to submit an electronic or written annual report by February 1 of the following year.
<input type="checkbox"/>	66273.32(d)(3) -- Submitted an incomplete Annual Report.
<input checked="" type="checkbox"/>	MANAGEMENT REQUIREMENTS FOR EDs, CRTs and CRT GLASS
	ELECTRONIC DEVICES (ED)
<input checked="" type="checkbox"/>	66273.33.5(a)(1)(B)1. -- Failed to contain any electronic device in a manner that prevents breakage and release of components to the environment.
<input checked="" type="checkbox"/>	66273.33.5(a)(1)(B)2. -- Failed to immediately clean up and place in a structurally sound container any ED that is accidentally or unintentionally broken and may be expected to cause a release of hazardous constituents to the environment under reasonably foreseeable conditions.
<input checked="" type="checkbox"/>	CRTs
<input type="checkbox"/>	66273.33.5(b)(1)(B)1. -- Failed to contain any CRT in a container or package that is structurally sound, adequate to prevent breakage of the CRT, and compatible with the contents of the CRT.
<input type="checkbox"/>	66273.33.5(b)(1)(B)2 -- Failed to immediately clean up and place in a structurally sound container any CRT that is broken or any CRT that shows evidence of breakage, leakage, or damage that could cause the release of CRT glass or other hazardous constituents to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.33.5(b)(1)(B)3 -- Failed to place CRTs in a container with packing material, if such material is necessary to prevent breakage during handling, storage and transportation.

SF READ
NDPIS

CRT GLASS	
<input type="checkbox"/>	66273.33.5(c)(1)(B)1 -- Failed to contain any CRT glass in a container or package that is structurally sound and compatible with the contents of the CRT glass to prevent releases to the environment.
<input type="checkbox"/>	66273.33.5(c)(1)(B)2 -- Failed to immediately clean up and place in a structurally sound container any CRT glass that is released from a broken CRT or that is spilled.
<input type="checkbox"/>	66273.33.5(c)(1)(B)2 -- Failed to immediately clean up and place in another container any CRT glass that is released as a result of breakage, leakage, or damage to a container of CRT glass and failed to place any unreleased CRT glass remaining in the broken, leaking, or damaged container in a structurally sound container.
<input checked="" type="checkbox"/>	LABELING /MARKING
<input checked="" type="checkbox"/>	66273.34(d) -- Failed to label or mark each electronic device, or a container or pallet in or on which the electronic devices are contained, with the phrase: "Universal Waste -- Electronic Device".
<input type="checkbox"/>	66273.34(e) -- Failed to label or mark clearly each CRT, or container or pallet with the phrase: "Universal Waste -- CRT(s)".
<input type="checkbox"/>	66273.34(f) -- Failed to label or mark clearly a container of CRT glass with the phrase: "Universal Waste -- CRT glass".
	or

<input checked="" type="checkbox"/>	66273.34(g) – Failed to demarcate a designated universal waste accumulation area and label the area with the applicable portion(s) of the phrase: "Universal Waste – Electronic Device(s)/Universal Waste – CRT(s)/Universal Waste – CRT Glass".
<input checked="" type="checkbox"/>	ACCUMULATION TIME LIMITS
<input checked="" type="checkbox"/>	66273.35(a) – Accumulated universal waste for greater than one year.
<input checked="" type="checkbox"/>	66273.35(b) – Failed to demonstrate the length of time the universal waste has been accumulated from the date it became a waste or was received by any of the methods specified in subsection (1)-(6) below:
<input type="checkbox"/>	66273.35(b)(1) Placing the universal waste in a container and marking or labeling the container with the earliest date that any universal waste in the container became a waste or was received;
<input type="checkbox"/>	Or, 66273.35(b)(2) – Marking or labeling the individual item of universal waste with the date it became a waste or was received;
<input type="checkbox"/>	Or, 66273.35(b)(3) – Maintaining an inventory system onsite that identifies the date the universal waste being accumulated became a waste or was received;
<input type="checkbox"/>	Or, 66273.35(b)(4) – Maintaining an inventory system onsite that identifies the earliest date that any universal waste in a group of items of universal waste or a group of containers of universal waste became a waste or was received;
<input type="checkbox"/>	Or, 66273.35(b)(5) – Placing the universal waste in a specific accumulation area and marking or labeling the area to identify the earliest date that any universal waste in the area became a waste or was received;
<input type="checkbox"/>	Or, 66273.35(b)(6) – Any other method which clearly demonstrates the length of time that the universal waste has been accumulated from the date it became a waste or was received.
	PERSONNEL TRAINING
<input checked="" type="checkbox"/>	66273.36(a) – Failed to ensure that all personnel who manage universal waste from offsite sources at the universal waste handler's facility are thoroughly familiar with proper universal waste management and emergency response procedures, relative to those persons' responsibilities as specified below.
<input checked="" type="checkbox"/>	66273.36(b) – Failed to initially train all personnel who manage or who supervise those who manage universal waste from offsite sources.
<input checked="" type="checkbox"/>	66273.36(b) – Failed to provide annual training to all personnel who manage or who supervise those who manage universal wastes from offsite sources.
<input checked="" type="checkbox"/>	66273.36(b)(1) – Failed to include in the initial training materials the types and hazards associated with the universal waste that personnel may manage at the facility (e.g., hazards due to leaded glass in CRT devices or CRTs);
<input checked="" type="checkbox"/>	66273.36(b)(2) – Failed to include in the initial training materials the proper disposition of universal waste managed at the facility (e.g., the locations of universal waste container(s), or the location of a centralized universal waste accumulation area);
<input checked="" type="checkbox"/>	66273.36(b)(3) – Initial training materials failed to include the proper procedures for responding to releases of universal wastes (e.g., spilled CRT glass) including the position titles and the means of contacting those personnel at the facility who are designated to respond to reports of releases (e.g. spilled CRT glass) and/or to respond to questions received from other personnel at the facility.
<input checked="" type="checkbox"/>	66273.36(b)(4) – Failed to include initial training materials the applicable requirements in CCR title 22, Div. 4.5 Chapter 23.
<input checked="" type="checkbox"/>	66273.36(c) – Failed to maintain a written record by date indicating the names of personnel who received the information specified in 66273.36(b).
<input checked="" type="checkbox"/>	66273.36(d) – Failed to maintain training records specified in 66273.36(c) for at least three years from the date the person last managed any universal waste at the facility.
<input checked="" type="checkbox"/>	RESPONSE TO RELEASES
<input checked="" type="checkbox"/>	66273.37(a) – Failed to immediately contain all releases of universal waste and residues from universal waste to the environment.
<input checked="" type="checkbox"/>	66273.37(b) – Failed to determine whether any universal waste or residues from universal waste released to the environment is a hazardous waste.
<input checked="" type="checkbox"/>	66273.37(c) – Failed to repackage residues of leaking, broken, or otherwise damaged universal waste according to the standards of section 66273.33 or 66273.33.5.
<input checked="" type="checkbox"/>	OFFSITE SHIPMENTS
<input type="checkbox"/>	66273.38(a) - Sent or took UW to a place other than another UW handler, a destination facility, or a foreign destination.
<input type="checkbox"/>	66273.38(b) - A UW handler that transports UW off-site failed to comply with the UW transporter requirements.
<input type="checkbox"/>	66273.38(c) - A UW handler that offers UW that meets the definition of a hazardous material for off-site transportation failed to package, label, mark and placard the shipment according to applicable U.S DOT regulations.
<input type="checkbox"/>	66273.38(d) -The originating UW handler failed to obtain an agreement from the receiving UW handler or destination Facility prior to sending a shipment of UW.
<input type="checkbox"/>	66273.38(e)(1) – When the UW shipment is rejected by another UW handler or destination facility, the originating UW handler failed to receive back the UW.
	or

	66273.38(e)(2) – Send the UW to another UW destination facility or handler.
<input type="checkbox"/>	66273.38(f)(1) - The receiving UW handler that rejects a shipment of UW failed to notify the originating UW handler of the rejected load and/or 66273.38(f)(2) – Failed to return the UW to the originating UW handler, or not agreeing with the originating UW handler to send the UW to another UW destination facility.
<input type="checkbox"/>	66273.38(g) - A UW handler that receives a shipment of UW containing hazardous waste failed to notify DTSC of the shipment.
<input type="checkbox"/>	66273.38(h) - A UW handler that receives a shipment of non-hazardous, non-UW, failed to manage the waste in compliance with applicable federal, state, and local solid waste regulations.
V	TRACKING UNIVERSAL WASTE SHIPMENTS
<input checked="" type="checkbox"/>	66273.39(a) – Failed to keep a record of each shipment of UW received at the UW handler's facility.
	Except as specified in 66273.39(b), a UW handler failed to record for each shipment of UW received the information required in subsection (1) – (3) below:
<input checked="" type="checkbox"/>	66273.39(a)(1) The name and address of the originating UW handler from which the UW was sent;
<input checked="" type="checkbox"/>	66273.39(a)(2) The quantity of each type of UW received.
<input checked="" type="checkbox"/>	66273.39(a)(3) The date of receipt of the shipment of UW.
	66273.39(b) For purposes of compliance with (a) of this section, a UW handler who receives UW from household generator and conditionally exempt small quantity UW generators, failed to do the following:
<input checked="" type="checkbox"/>	66273.39(b)(1) in lieu of the originating UW handler's name and address, record "household generator" and/or CESQUWG;
<input checked="" type="checkbox"/>	66273.39(b)(2) record the total quantity of each type of UW as an aggregate from households and/or CESQUWGs.
<input checked="" type="checkbox"/>	66273.39(c) Failed to keep a record of each shipment of UW sent from the UW handler's facility to another facility.
<input type="checkbox"/>	66273.39(c)(1) – Failed to record the name and address of the UW handler or destination facility to which the UW was sent.
<input type="checkbox"/>	66273.39(c)(2) – Failed to record the quantity of each type of UW sent.
<input type="checkbox"/>	66273.39(c)(3) – Failed to record the date the shipment was sent.
<input type="checkbox"/>	66273.39(d)(1) – Failed to retain each record described in (a) of this section for 3 years from the date of receipt of the corresponding shipment of UW.
<input type="checkbox"/>	66273.39(d)(2) – Failed to retain each record described in (b) of this section for 3 years from the date of departure of the corresponding shipment of UW.
V	EXPORTS
<input type="checkbox"/>	66273.40(a)(3)(A) – Exported used CRTs for reclamation and failed to comply with the federal notification and EPA Acknowledgment of Consent requirements of 40 Code of Federal Regulations section 261.39(a)(5), if applicable.
<input type="checkbox"/>	66273.40(a)(3)(B) – Exported intact used CRTs for reuse, and failed to comply with federal notification and recordkeeping requirements of 40 Code of Federal Regulations section 261.41, if applicable
<input type="checkbox"/>	66273.40(a)(3)(C) – Failed to notify the Department, in accordance with subsection (a)(4) of this section, of an intended export 60 days before any EDs, CRTs, and/or CRT glass are scheduled to leave the US. The notification covers a 12 month or lesser period.
<input type="checkbox"/>	66273.40(a)(3)(D) – Failed to concurrently send a copy of the notification required in (a)(3)(C) of this section to the CUPA having jurisdiction over the UW handler's facility, or if there is no such CUPA, to the agency authorized pursuant to subdivision (f) of H&SC section 25404.3.
<input type="checkbox"/>	66273.40(a)(4)(A) – Failed to include in the 66273.40(a)(3)(C) notification the name, mailing address, telephone number, and ID Number (if applicable) of the UW handler.
	66273.40(a)(4)(B) – Failed to include in the 66273.40(a)(3)(C) notification the information required in subsections 1-9 below:
<input type="checkbox"/>	66273(a)(4)(B)1 – The quantity (by count or by weight) and volume of the electronic devices, the CRTs, and/or the CRT glass, respectively, to be exported to the foreign destination;
<input type="checkbox"/>	66273.40(a)(4)(B)2 – The estimated frequency or rate at which the electronic devices, the CRTs, and/or the CRT glass, respectively, are to be exported to that destination;
<input type="checkbox"/>	66273.40(a)(4)(B)3 – All points of entry to and departure from each foreign country through which the electronic devices, the CRTs, and/or the CRT glass, respectively, will pass en route to the foreign destination;
<input type="checkbox"/>	66273.40(a)(4)(B)4 – A description of the means by which each shipment of the electronic device, the CRTs, and/or the CRT glass, respectively, will be transported (e.g., mode of transportation vehicle, types(s) of containers).
<input type="checkbox"/>	66273.40(a)(4)(B)5 – If the foreign destination is a destination facility that recycles the electronic devices, CRTs, and/or CRT glass, a description of the means by which the electronic devices, the CRTs, and/or the CRT glass, respectively, will be recycled at the destination facility;
<input type="checkbox"/>	66273.40(a)(4)(B)6 – The name and site address of the foreign destination or any alternate foreign destination.
<input type="checkbox"/>	66273.40(a)(4)(B)7 – For used CRTs to be exported for reclamation, a copy of the notification forwarded by the exporter to USEPA pursuant to 40 Code of Federal Regulations section 271.39(a)(5)(i).
<input type="checkbox"/>	66273.40(a)(4)(B)8 – For used, intact CRTs to be exported for reuse, a copy of the one time notification submitted by the export to the USEPA pursuant to 40 Code of Federal Regulations section 261.41.

<input type="checkbox"/>	66273.40(a)(4)(B)9 – For CRT glass to be exported, the name and location of the lead smelter or CRT glass furnace that will recycle the CRT glass.
<input type="checkbox"/>	66273.40(b) A person who exports used CRTs for reclamation failed to forward a copy of the EPA Acknowledgment of Consent to the Department within 30 calendar days of receipt.
<input type="checkbox"/>	66273.40(c) – Failed to keep copies of notification and all applicable records which demonstrate compliance pursuant to subsections (a)(4)(B)7 through (a)(4)(B)9 and subsection (b) of this section for a period of three years from the date of shipment.
V	IMPORTS
<input type="checkbox"/>	66273.41(a) A person who manages a UW that is imported into the United States from a country, other than an OECD country specified in section 66262.58, subsection (a)(1), failed to comply with the applicable requirements of this chapter, beginning immediately after the universal waste enters the United States, as indicated in subsections (a)(1) through (a)(3) of this section: (1) A UW transporter failed to comply with the universal waste transporter requirements of article 5 of this chapter.
<input type="checkbox"/>	Or, (2) Failed to comply with the UW handler requirements of article 3 of this chapter, as applicable.
<input type="checkbox"/>	Or, (3) An owner or operator of a destination facility failed to comply with destination facility requirements of article 6 of this chapter.
V	TRANSPORTERS – PROHIBITIONS
<input type="checkbox"/>	66273.51(a) – Disposed of universal waste.
<input type="checkbox"/>	66273.51(b) – Diluted or treated a UW, except as a consequence of responding to a release as provided in section 66273.54.
<input type="checkbox"/>	66273.51(c) – Transported more than 5 CRTs at any one time which were not contained as described in 66273.33.5(b)(1)(B).
<input type="checkbox"/>	66273.51(d) – Transported more than 100 kilograms or 220 pounds of electronic devices at any one time and not contained as described in 66273.33.5(a)(1)(B).
V	TRANSPORTERS – WASTE MANAGEMENT
<input type="checkbox"/>	66273.52(a) – Failed to comply with all applicable DOT regulations in 49 CFR parts 171 – 180 for transport of any UW that meets the definition of a hazardous material in 49 CFR 171.8.
V	TRANSPORTERS – STORAGE TIME LIMITS
<input type="checkbox"/>	66273.53 – Stored UW at a UW transfer Facility in an area zoned "industrial" for more than 10 days or in any other area for more than 6 days, without complying with article 3 of this chapter.
	TRANSPORTERS – RESPONSE TO RELEASES
<input type="checkbox"/>	66273.54(a) – Failed to immediately contain all releases of UW and of residues from UW to the environment.
<input type="checkbox"/>	66273.54(b) – Failed to determine whether any material resulting from a UW release to the environment is a hazardous waste and, if so, failed to manage the hazardous waste in compliance with all applicable requirements of this division.
<input type="checkbox"/>	66273.54(c) – Failed to repackage according to the standards of section 66273.33 or 66273.33.5 any residues of leaking, broken, or otherwise damaged UW.
V	TRANSPORTERS – OFFSITE SHIPMENTS
<input type="checkbox"/>	66273.55(a) – Transported UW to a place other than a UW handler, a destination facility, or a foreign destination.
<input type="checkbox"/>	66273.55(b) – Shipped a UW that meets the DOT definition of a hazardous material and failed to use a proper shipping name on the shipping papers.
	TRANSPORTERS – EXPORTS
<input type="checkbox"/>	66273.56(a)(1) – A UW transporter transported UW to an OECD country specified in 66262.58(a)(1) and failed to comply with the requirements of article 8 of chapter 12.
<input type="checkbox"/>	66273.56(a)(2) – A UW transporter accepted UW for shipment to a country other than an OECD country knowing the shipment does not conform to the EPA Acknowledgment of Consent.
<input type="checkbox"/>	66273.56(a)(2)(A) – Failed to ensure that a copy of the EPA Acknowledgment of Consent accompanies the UW export shipment.
<input type="checkbox"/>	66273.56(a)(2)(B) – Failed to ensure the shipment is delivered to the facility designated by the person who initiated the shipment.
V	DESTINATION FACILITIES
<input type="checkbox"/>	66273.60(c) – A permitted hazardous waste facility stored non-RCRA UW according to the reduced requirements of this chapter without modifying its permit to designate a UW storage area.
<input type="checkbox"/>	66273.61(a) – Sent UW to a place other than a UW handler, another destination facility, or a foreign destination.
<input type="checkbox"/>	66273.61(b) - A destination facility that rejects a shipment containing UW failed to contact the shipper to notify him of the rejection and to discuss reshipment of the load.
<input type="checkbox"/>	66273.61(b)(1) and (2) – Rejected a load of UW and failed to either return the shipment back to the original shipper or agreed with the original shipper to send the shipment to another destination facility.
<input type="checkbox"/>	66273.61(c) - A destination facility that receives a shipment as UW which contains HW that is not UW failed to immediately notify DTSC of the illegal shipment.
<input type="checkbox"/>	66273.61(d) - A destination facility that received a shipment of non-hazardous, non-UW failed to manage the waste in compliance with applicable federal or state solid waste regulations.
V	ADDITIONAL EXPORT REQUIREMENTS FOR COVERED ELECTRONIC DEVICES
<input type="checkbox"/>	42476.5(a) – Failed to notify the Department, at least 60 days prior to Export, of the destination, contents, and volume of covered e-waste to be exported to any foreign destination.
<input type="checkbox"/>	42476.5(b) – Failed to demonstrate that the waste or device is being exported for the purposes of recycling or disposal.
<input type="checkbox"/>	42476.5(c) – Failed to demonstrate that the importation of the waste or device is not prohibited by an applicable law in the state or country of destination and that any import will be conducted in accordance with all applicable laws.
<input type="checkbox"/>	42476.5(c) – Failed to forward required import and operating licenses to the Department.

<input type="checkbox"/>	42476.5(d) – Failed to demonstrate that the exportation of covered e-waste is conducted only in accordance with applicable international law.
<input type="checkbox"/>	42476.5(e)(1) – Failed to demonstrate that the management of the exported covered e-waste will be handled within the country of destination in accordance with applicable rules, standards, and requirements adopted by the OECD for the environmentally sound management of electronic waste.
V	DESTINATION FACILITY RECORDKEEPING
<input checked="" type="checkbox"/>	66273.62(a) - Failed to keep a record of each shipment of UW received at the facility.
<input checked="" type="checkbox"/>	66273.62(a)(1) -- Failed to record the name and address of the UW handler, destination, or foreign shipper from which the UW was sent.
<input checked="" type="checkbox"/>	66273.62(a)(2) -- Failed to record the quantity of each type of UW received (e.g. batteries, thermostats, lamps, electronic devices, CRTs, CRT glass).
<input checked="" type="checkbox"/>	66273.62(a)(3) – Failed to record the date of receipt of the shipment of UW.
<input checked="" type="checkbox"/>	66273.62(b) - Failed to retain shipment records for at least three years from the date of receipt of the corresponding shipment of UW.
V	RECYCLERS
<input type="checkbox"/>	66273.70(a) – Treated EDs, CRTs and/or CRT glass without recycling and failed to comply with chapters 14, 15, 16, 18, 20, and/or 22 of 22 CCR div 6.5.
<input type="checkbox"/>	66273.71(d)(1) – A handler who removes user-replaceable components failed to make a HW determination on residuals that are HW.
<input type="checkbox"/>	66273.71(d)(1)(A) – A handler who removes user-replaceable components failed to manage HW residuals in compliance with applicable HW requirements.
<input type="checkbox"/>	66273.71(f)(1) –Failed to manage residual printed circuit boards in a manner that prevents a release to the environment.
<input type="checkbox"/>	66273.71(f)(1)(A) – Failed to place residual printed circuit boards in a structurally sound container.
<input type="checkbox"/>	66273.71(f)(1)(B) – Failed to label a residual printed circuit board container with the phrase: "Residual Printed Circuit Boards".
<input type="checkbox"/>	66273.71(f)(1)(C) – Failed to clean up and place in a container spilled residual printed circuit boards or those that might reasonably be expected to cause a release to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.71(g) – Treated hazardous waste generated by activities authorized by 66273.71 in a way not provided for under subsections (e) or (f) and failed to obtain a hazardous waste facility permit or other form of authorization from the Department.
V	DISASSEMBLING/DRAINING ACTIVITIES
<input type="checkbox"/>	66273.72(a)(1) – Used water, chemicals, and/or external heat to disassemble EDs, CRTs and/or CRT glass.
<input type="checkbox"/>	66273.72(a)(2) – Failed to perform a HW determination for all residuals resulting from activities performed pursuant to 66273.72(c).
<input type="checkbox"/>	66273.72(a)(2)(B) – Generated HW residuals and failed to comply with all applicable requirements of chapters 12, 14, 15, 16, 18, 20, 22, and 23, and HSC 25153.6.
	66273.72(a)(4) – Prior to conducting any subsequent treatment activity authorized by section 66273.73 on any residual printed circuit board resulting from removal activities conducted under this section, a UW handler failed to manage the residual printed circuit board in a manner that prevents a release to the environment by:
<input type="checkbox"/>	66273.72(a)(4)(A) – Failed to contain the residual printed circuit board in a container that is structurally sound and compatible with the residual printed circuit board.
<input type="checkbox"/>	66273.72(a)(4)(B) – Failed to label the container with the phrase, "Residual Printed Circuit Boards".
<input type="checkbox"/>	66273.72(a)(4)(C)– Failed to clean up a spilled residual printed circuit board or one that might reasonably be expected to cause a release to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.72(a)(5) – Conducted further treatment of residual printed circuit boards, CRT residual, and/or CRT glass-residual and failed to comply with section 66273.73.
<input type="checkbox"/>	66273.72(a)(6) – A UW handler who conducts no further treatment of residual printed circuit boards failed to comply with 66273.75(c).
<input type="checkbox"/>	66273.72(a)(7) – Treated residuals that are HW in a manner not authorized in 66273.72 and failed to obtain a hazardous waste facility permit or other form of authorization from the Department.

V	REMOVING CRTS FROM CRT DEVICES
<input type="checkbox"/>	66273.72(b)(1) – Removed CRTs from electronic devices in a manner that failed to prevent breakage of the CRTs.
<input type="checkbox"/>	66273.72(b)(2)(A) – Removed CRTs and failed to use a containment device (e.g., a tray, a box, a workbench, a table, or an enclosed machine) sufficient in size and construction to contain any CRT glass that may be released to the environment.
<input type="checkbox"/>	66273.72(b)(2)(B) – Failed to ensure that persons removing CRTs are thoroughly familiar with the techniques and safety precautions required to remove CRTs safely (e.g. releasing the vacuum from each CRT and discharging the CRT).
<input type="checkbox"/>	66273.72(b)(2)(C) – Failed to place the removed CRTs in a container with packing materials, if such materials are necessary to prevent breakage of the CRTs during handling, storage and transportation.
<input type="checkbox"/>	66273.72(b)(2)(D) – Failed to manage the packaged CRTs in accordance with the requirements of 66273.33.5(b).
	ED DISMANTLING AND CRT YOKE REMOVAL
V	66273.72(c) ED handlers who manually dismantle or otherwise segregate ED components (e.g. circuit boards, integrated circuits, metals, plastic, wiring, batteries, lamps, etc.) from EDs or manually removes yokes without breaking the CRT glass
<input type="checkbox"/>	66273.72(c)(1)(B) – Failed to remove yokes from CRTs without breaking the CRT glass.
<input type="checkbox"/>	66273.72(c)(2)(A) – Failed to comply with the notification, annual reporting, and recordkeeping requirements specified in 66273.74, subsections (a) through (c)(1).
<input type="checkbox"/>	66273.72(c)(2)(B) – Failed to ensure that all activity residuals meeting either the definition of scrap metal in 66260.10 or the definition of CRT glass in 66273.9 are recycled.

<input type="checkbox"/>	66273.72(c)(2)(C)1. – Failed to dismantle electronic devices and/or remove yokes from CRTs over, on, or in a containment device (e.g., a tray, a box, a workbench, a table, or an enclosed machine) sufficient in size and construction to contain any universal waste and/or component of such waste that may be released to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.72(c)(2)(C)2. – Failed to contain any hazardous residuals produced from dismantling electronic devices and/or removing yokes from CRTs in a manner that prevents releases of the residual to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.72(c)(2)(C)3. – Failed to immediately clean up and place in a container any electronic device and/or CRT that is accidentally or unintentionally broken and that may reasonably be expected cause a release to the environment under reasonably foreseeable conditions, and/or the container was not structurally sound, or compatible with the contents of the electronic device and/or CRT, and/or the container could not prevent releases to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.72(c)(2)(C)4. – Failed to place CRTs in a container with packing materials, if such materials are necessary to prevent breakage during handling, storage and transportation.
<input type="checkbox"/>	66273.72(c)(2)(C)5. – Failed to ensure that persons performing the activities are thoroughly familiar with the hazards associated with such treatment, have access to the proper procedures and protective equipment necessary to conduct the treatment safely, use such protective equipment if required by any applicable health and safety requirements, and comply with the requirements of this section.
<input type="checkbox"/>	66273.72(c)(2)(C)6. – Failed to ensure that the facility is operated in compliance with all applicable health and safety laws and regulations (e.g., Cal. Code Regs. Title 8 ch. 4 (Division of Industrial Safety) subch. 7 (General Industry Safety Orders), group 16 (Control of Hazardous Substances), art. 107 (Dusts, Fumes Vapors and Mists), and art. 109 (Hazardous Substances and Processes, and sec. 5198 (Lead)).
<input type="checkbox"/>	66273.72(c)(2)(C)7. – Failed to ensure that the facility maintains aisle spacing in compliance with applicable fire safety code standards in California.
<input checked="" type="checkbox"/>	AUTHORIZATION FOR TREATMENT (PROCESSING) ACTIVITIES
	TREATING ELECTRONIC DEVICES
<input type="checkbox"/>	66273.73(a)(1)(C) – UW handler treated electronic devices and/or residual printed circuit boards under 66273.73(a)(1)(B) (i.e. producing scrap metal and UW under this chapter) and failed to:
<input type="checkbox"/>	66273.73(a)(1)(C)1. – Follow the notification, annual reporting and recordkeeping requirements of 66273.74.
<input type="checkbox"/>	66273.73(a)(1)(C)2. – Comply with 66273.75.
<input type="checkbox"/>	66273.73(a)(2)(C) – UW handler treated electronic devices and/or residual printed circuit boards which produced any residual which exhibits a hazardous waste characteristic, does not meet the definition of scrap metal in 66273.9 and does not qualify for management as universal waste pursuant to this chapter failed to follow the requirements of section 66273.33.5, subsection (a)(1) and to do the following:
<input type="checkbox"/>	66273.73(a)(2)(C)1. – Follow the notification, annual reporting, and/or recordkeeping requirements specified in 66273.74.
<input type="checkbox"/>	66273.73(a)(2)(C)2. – Comply with the 66273.75 standards.
<input type="checkbox"/>	66273.73(a)(2)(C)3. – Comply with the closure standards in 66273.76.
<input type="checkbox"/>	66273.73(a)(2)(C)4. – Comply with the closure standards in 66273.77, if applicable.
<input checked="" type="checkbox"/>	TREATING CRTS AND/OR CRT GLASS
<input type="checkbox"/>	66273.73(b) A UW handler breaks CRT glass and whose other UW activities are authorized elsewhere failed to do the following:
<input type="checkbox"/>	66273.73(b)(3)(A) The notification, annual reporting, and recordkeeping requirements specified in 66273.74.
<input type="checkbox"/>	66273.73(b)(3)(B) The standards specified in 66273.75.
<input type="checkbox"/>	66273.73(b)(3)(C) The closure plan and financial requirements specified in 66273.76.
<input type="checkbox"/>	66273.73(b)(3)(D) The applicable closure requirements specified in 66273.77.
<input checked="" type="checkbox"/>	PRINTED CIRCUIT BOARD RECYCLING
<input checked="" type="checkbox"/>	66273.73(d)(2)(A) Treated printed circuit boards derived from processes not authorized under this article.
<input checked="" type="checkbox"/>	66273.73(d)(2)(B) Failed to containerize and label the printed circuit board pursuant to 66273.75(b).
<input type="checkbox"/>	66273.73(d)(2)(C) – Subsequent to performing authorized treatment and before recycling, failed to determine that the printed circuit board is exempt or excluded scrap metal.

	NOTIFICATION, ANNUAL REPORTING, AND RECORDKEEPING
<input checked="" type="checkbox"/>	NOTIFICATION
<input type="checkbox"/>	66273.74(a)(1) Failed to notify the Department, either in writing or electronically, 30 calendar days prior to treating any electronic device and/or CRT.
<input type="checkbox"/>	66273.74(a)(1)(A-J) Submitted an incomplete notification.
<input checked="" type="checkbox"/>	ANNUAL REPORTING
	66273.74(b)(1) Failed to submit to the Department, either in writing or electronically, an annual report by February 1 of the following year.
<input type="checkbox"/>	66273.74(b)(1)(A-K) Submitted an incomplete annual report.
<input checked="" type="checkbox"/>	RECORDKEEPING
<input type="checkbox"/>	66273.74(c)(1)(A)1. Failed to maintain on file a copy of the initial notification required in 66273.74(a).
<input type="checkbox"/>	66273.74(c)(1)(A)2. Failed to maintain on file a copy of the annual report required in 66273.74(b).
<input type="checkbox"/>	66273.74(c)(1)(A)3. Failed to maintain on file a copy of any local air district permit and/or other relevant permit required for the facility.
<input checked="" type="checkbox"/>	TREATMENT (PROCESSING) STANDARDS
<input type="checkbox"/>	66273.75(a)(1) A UW handler used a treatment standard not authorized in 66273.73(c).
<input type="checkbox"/>	66273.75(a)(2) Failed to ensure that all mercury-containing lamps, PCB capacitors, and other components containing fluids (i.e., liquids or gases) that would be identified as hazardous wastes, are removed prior to treatment methods that may release the fluids such as cutting, sawing, breaking, shredding, crushing, grinding, screening, sieving, acceleration or compacting.

<input type="checkbox"/>	66273.75(a)(3) Failed to conduct treatment activities over, or in, a containment device (e.g., a tray, a box, a workbench, a table, or an enclosed machine) sufficient in size and construction to contain any materials that might be released to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.75(a)(4) Failed to ensure that all hazardous wastes generated from treatment activities and sent offsite for disposal are managed (i.e., manifested) in accordance with the applicable requirements of article 2 of ch. 12 of this division.
<input type="checkbox"/>	66273.75(a)(5) Failed to comply with 66265.18 and 66265.25 to the extent that those requirements apply to facility location and design standards.
<input type="checkbox"/>	66273.75(a)(6) Failed to ensure that all treatment is conducted in compliance with all applicable state and local air pollution control laws and regulations.
<input type="checkbox"/>	66273.75(a)(7) Failed to treat electronic devices and/or residual printed circuit boards only for the purpose of recycling one or more of their components and ensure that all treatment residuals meeting the definition of scrap metal in 66273.9 are recycled and that all treatment residuals meeting the definition of CRT glass in 66273.9 are recycled.
<input type="checkbox"/>	66273.75(a)(8) Failed to treat CRTs only for the purpose of recycling one or more types of CRT glass and ensure that all the CRT glass is reclaimed at a CRT glass manufacturer or at a primary or secondary lead smelter.
<input type="checkbox"/>	66273.75(a)(9) Accepted for treatment, any electronic devices or CRTs that are managed, or that are required to be managed, as hazardous wastes pursuant to ch. 10-16, 18, 20, and 22 of this division, without being authorized to do so pursuant to a HW facility permit or other authorization granted by the Department pursuant to those chapters.
<input type="checkbox"/>	66273.75(b)(1) Failed to manage all residuals produced from treating electronic devices, residual printed circuit boards, and/or CRTs, in a manner that prevents a release to the environment of any universal waste or any component.
<input type="checkbox"/>	66273.75(b)(2) Failed to contain any residuals that are produced from treating electronic devices, residual printed circuit boards, and/or CRTs, in a manner that prevents releases of hazardous residuals to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.75(b)(3) Failed to clean up and immediately place in a container any electronic device, residual printed circuit board, and/or CRT that is accidentally or unintentionally broken and that might reasonably be expected to cause a release to the environment under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.75(b)(3) Failed to use containers that are structurally sound, compatible with the contents of the electronic devices, residual printed circuit boards, and/or CRTs, and prevent releases under reasonably foreseeable conditions.
<input type="checkbox"/>	66273.75(b)(4) Failed to manage residual printed circuit boards in containers labeled "Residual Printed Circuit Boards".
<input type="checkbox"/>	66273.75(c)(1) Failed to perform a hazardous waste determination pursuant to 66262.11 for all residuals resulting from the activities authorized by subsection (a) of this section.
<input type="checkbox"/>	66273.75(c)(1)(B) For residuals that are HW, failed to comply with all the applicable requirements of ch 12, 14, 15, 16, 18, 20, 22 and 23 of this division, except as provided in subsection (c)(1)(C) of this section.
<input type="checkbox"/>	66273.75(c)(1)(D) Failed to obtain a HW facility permit to conduct further treatment of residual that are hazardous waste, except as provided in subsection (c)(1)(C) of this section.
<input type="checkbox"/>	66273.75(c)(2) Failed to ensure that all CRT glass generated by the facility is sent to a CRT glass manufacturer or to a primary or secondary lead smelter and recycled.
<input type="checkbox"/>	66273.75(d)(1) Failed to be thoroughly familiar with the hazards associated with the treatment of electronic devices, residual printed circuit boards, and/or CRTs; or failed to have access to proper procedures and protective equipment necessary to conduct the treatment safely, or use such protective equipment if require by any applicable health and safety requirements.
<input type="checkbox"/>	66273.75(d)(2) Failed to ensure that the facility is operated in compliance with all applicable health and safety laws and regulations, [Cal. Code Regs., title 8, ch.4 (Division of Industrial Safety), subch. 7 (General Industry Safety Orders), group 16 (Control of Hazardous Substances), art. 107 (Dusts, Fumes Vapors and Mists), and art. 109 (Hazardous Substances and Processes), and sec. 5198 (Lead)].
<input type="checkbox"/>	66273.75(e)(1) Failed to ensure that treatment is consistent with local zoning requirements and land use patterns applicable to the UW handler's facility.

<input checked="" type="checkbox"/>	CLOSURE PLAN AND FINANCIAL REQUIREMENTS
	Requirements for UW treatment facilities conducting one or more treatment activities specified in 66273.73(a)(2) and (b).
<input type="checkbox"/>	66273.76(a)(1) – Failed to submit a closure plan, no later than 30 days prior to initially conducting treatment activities.
<input type="checkbox"/>	66273.76(a)(2) – Failed to modify the closure plan required by subsection (a)(1) whenever a change affecting the closure plan occurs.
<input type="checkbox"/>	66273.76(a)(3) – Failed to keep the closure plan at the facility.
<input type="checkbox"/>	66273.76(b)(1) – Failed to prepare and submit a cost estimate for closure.
<input type="checkbox"/>	66273.76(b)(2) – Failed to adjust annually the estimated closure cost for inflation.
<input type="checkbox"/>	66273.76(b)(3) – Failed to revise the closure cost estimate no later than 30 days after any revision has been made to the closure plan which increases the cost of closure.
<input type="checkbox"/>	66273.76(b)(4) – Failed to keep at the facility the latest closure cost estimate.
<input type="checkbox"/>	66273.76(c) – Failed to prepare and submit documentation demonstrating financial responsibility for liability pursuant to section 66265.147.
<input type="checkbox"/>	66273.76(d) Failed to prepare and submit documentation demonstrating financial assurance for closure to fund the cost estimate for closure, pursuant to section 66265.143
<input type="checkbox"/>	66273.76(e) – Failed to date, sign, and/or certify the documents submitted pursuant to this section according to the requirements of section 66270.11, subsections (a) and (d) as those requirements apply to permit applications and permit-application certifications, respectively.
<input type="checkbox"/>	66273.76(f) – Failed to submit to the Department, the documents of this section by certified mail, return receipt requested.

